## Type-Based Reasoning for Real Languages

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PPL'10

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$$\begin{array}{l} \texttt{takeWhile} :: (\alpha \to \texttt{Bool}) \to [\alpha] \to [\alpha] \\ \texttt{takeWhile} p [] &= [] \\ \texttt{takeWhile} p (a:as) \mid p a &= a: (\texttt{takeWhile} p as) \\ &\mid \texttt{otherwise} = [] \end{array}$$

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For every choice of p, f, and l: takeWhile  $p \pmod{f} = \max f (\text{takeWhile} (p \circ f) l)$ 

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takeWhile:: (\alpha \to \mathsf{Bool}) \to [\alpha] \to [\alpha]
filter:: (\alpha \to \mathsf{Bool}) \to [\alpha] \to [\alpha]
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**takeWhile** ::  $(\alpha \to \text{Bool}) \to [\alpha] \to [\alpha]$  **filter** ::  $(\alpha \to \text{Bool}) \to [\alpha] \to [\alpha]$ **g** ::  $(\alpha \to \text{Bool}) \to [\alpha] \to [\alpha]$ 

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- That is what was claimed!

# Automatic Generation of Free Theorems

#### At http://www-ps.iai.uni-bonn.de/ft:

This tool allows to generate free theorems for sublanguages of Haskell as described here.

The source code of the underlying library and a shell-based application using it is available <u>here</u> and <u>here</u>.

Please enter a (polymorphic) type, e.g. "(a -> Bool) -> [a] -> [a]" or simply "filter":

g :: (a -> Bool) -> [a] -> [a]

Please choose a sublanguage of Haskell:

• no bottoms (hence no general recursion and no selective strictness)

general recursion but no selective strictness

general recursion and selective strictness

Please choose a theorem style (without effect in the sublanguage with no bottoms):

equational

inequational

Generate

## Automatic Generation of Free Theorems

The theorem generated for functions of the type

g :: forall a . (a -> Bool) -> [a] -> [a]

in the sublanguage of Haskell with no bottoms is:

The structural lifting occurring therein is defined as follows:

Reducing all permissible relation variables to functions yields:

```
forall tl,t2 in TYPES, f :: tl -> t2.
forall p :: tl -> Bool.
forall q :: t2 -> Bool.
(forall x :: tl. p x = q (f x))
==> (forall y :: [tl]. map f (g p y) = g q (map f y))
```

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# A Simpler Example

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Reynolds: g ::  $\tau$ , with  $\alpha$  free in  $\tau$ , iff for every  $\tau_1, \tau_2, \mathcal{R} \subseteq \tau_1 \times \tau_2$ , g<sub> $\tau_1$ </sub> is related to g<sub> $\tau_2$ </sub> by the "propagation" of  $\mathcal{R}$ (replaced for  $\alpha$ ) along  $\tau$ .

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Then for every  $g :: \tau$ , the pair (g, g) is contained in the relational interpretation of  $\tau$ .

Now Formal Counterpart to Intuitive Reasoning Let  $g :: (\alpha \to Bool) \to ([\alpha] \to [\alpha]).$ 

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- $\Leftrightarrow \forall \mathcal{R}. \ \forall (a_1, a_2) \in (\mathcal{R} \to id_{\mathsf{Bool}}). \ (g_{\tau_1} \ a_1, g_{\tau_2} \ a_2) \in ([\mathcal{R}] \to [\mathcal{R}])$ by definition of  $\mathcal{R} \to \mathcal{S}$

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That is what was claimed!

For interpreting types as relations:

- 1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
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Then for every  $g :: \tau$ , the pair (g, g) is contained in the relational interpretation of  $\tau$ .

Types: 
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Terms:  $t := x \mid \lambda x : \tau. t \mid t \mid \Lambda \alpha. t \mid t \tau$ 

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The Polymorphic  $\lambda$ -Calculus [Girard 1972, Reynolds 1974]

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### Deriving Free Theorems, in General

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Then for every  $g :: \tau$ , the pair (g, g) is contained in the relational interpretation of  $\tau$ .

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We had that for every

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#### The above free theorem fails!

Consider, e.g., p = id, f = const True, and l = [].

- ▶ g ::  $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$  must work uniformly for every instantiation of  $\alpha$ .
- ► The output list can only contain elements from the input list *I*.
- Which, and in which order/multiplicity, can only be decided based on *I* and the input predicate *p*.
- The only means for this decision are to inspect the length of *l* and to check the outcome of *p* on its elements.
- The lists (map f I) and I always have equal length.
- ► Applying p to an element of (map f l) always has the same outcome as applying (p ∘ f) to the corresponding element of l.
- ▶ g with p always chooses "the same" elements from (map f l) for output as does g with (p ∘ f) from l, except that in the former case it outputs their images under f.
- g  $p \pmod{f l}$  is equivalent to map  $f \binom{g (p \circ f) l}{l}$ .
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- g p (map f l) is equivalent to map  $f (g (p \circ f) l)$ , if f is strict.
- This gives a revised free theorem.

The Polymorphic  $\lambda$ -Calculus [Girard 1972, Reynolds 1974]

$$\begin{array}{l} \text{Types: } \tau := \alpha \mid \tau \to \tau \mid \forall \alpha.\tau \mid \text{Bool} \mid [\tau] \\ \text{Terms: } t := x \mid \lambda x : \tau.t \mid t t \mid \Lambda \alpha.t \mid t \tau \mid \\ & \text{True} \mid \text{False} \mid []_{\tau} \mid t : t \mid \textbf{case } t \text{ of } \{\cdots\} \\ \text{F, } x : \tau \vdash x : \tau & \frac{\Gamma, x : \tau_1 \vdash t : \tau_2}{\Gamma \vdash (\lambda x : \tau_1.t) : \tau_1 \to \tau_2} \\ \hline \Gamma \vdash t : \tau_1 \to \tau_2 & \Gamma \vdash u : \tau_1 \\ \hline \Gamma \vdash (t u) : \tau_2 & \frac{\Gamma \vdash t : \tau}{\Gamma \vdash (\Lambda \alpha.t) : \forall \alpha.\tau} \\ \hline \frac{\Gamma \vdash t : \forall \alpha.\tau}{\Gamma \vdash (t \tau') : \tau[\tau'/\alpha]} & \frac{\Gamma \vdash t : \tau & \Gamma \vdash u : [\tau]}{\Gamma \vdash (t : u) : [\tau]} \\ \hline \Gamma \vdash \text{True : Bool} \quad , \quad \Gamma \vdash \text{False : Bool} \quad , \quad \Gamma \vdash []_{\tau} : [\tau] \\ \hline \frac{\Gamma \vdash t : \text{Bool} & \Gamma \vdash u : \tau & \Gamma \vdash v : \tau}{\Gamma \vdash (\textbf{case } t \text{ of } \{\text{True} \to u; \text{False} \to v\}) : \tau} \\ \hline \frac{\Gamma \vdash t : [\tau'] & \Gamma \vdash u : \tau & \Gamma, x_1 : \tau', x_2 : [\tau'] \vdash v : \tau}{\Gamma \vdash (\textbf{case } t \text{ of } \{[] \to u; (x_1 : x_2) \to v\}) : \tau} \end{array}$$

Terms:  $t := \cdots | \text{fix } t$ 

Adding General Recursion Terms:  $t := \cdots \mid \mathbf{fix} \ t$  $\frac{\Gamma \vdash t : \tau \rightarrow \tau}{\Gamma \vdash (\mathbf{fix} \ t) : \tau}$ 

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To provide semantics, types are interpreted as pointed complete partial orders, and:

$$fix t = \bigsqcup_{i \ge 0} (t^i \perp)$$

### Use in an Example

The function

filter :: 
$$(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$$
  
filter  $p[] = []$   
filter  $p(a:as) = \text{if } p \text{ a then } a: (\text{filter } p \text{ as})$   
else filter  $p \text{ as}$ 

has a "desugaring" in the (extended) calculus as follows:

$$\begin{aligned} & \mathsf{fix} \ (\lambda f : (\forall \alpha.(\alpha \to \mathsf{Bool}) \to [\alpha] \to [\alpha]). \\ & \wedge \alpha.\lambda p : (\alpha \to \mathsf{Bool}).\lambda I : [\alpha]. \\ & \mathsf{case} \ I \ \mathsf{of} \ \{[] \qquad \to []_{\alpha}; \\ & (a : as) \to \mathsf{case} \ p \ a \ \mathsf{of} \\ & \{\mathsf{True} \ \to a : (f \ \alpha \ p \ as); \\ & \mathsf{False} \to f \ \alpha \ p \ as\}\}) \end{aligned}$$

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Let us check the one for, essentially, fix ::  $(\alpha \rightarrow \alpha) \rightarrow \alpha$ , namely:

$$\begin{aligned} \forall \tau_1, \tau_2, \mathcal{R} &\subseteq \tau_1 \times \tau_2. \forall t_1 :: \tau_1 \to \tau_1, t_2 :: \tau_2 \to \tau_2. \\ (\forall (a_1, a_2) \in \mathcal{R}. \ (t_1 \ a_1, t_2 \ a_2) \in \mathcal{R}) \\ \Rightarrow (\mathsf{fix} \ t_1, \mathsf{fix} \ t_2) \in \mathcal{R} \end{aligned}$$

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We can guarantee the above, provided all relations are restricted to be strict and continuous.

## Deriving Free Theorems in Presence of General Recursion

For interpreting types as relations:

- 1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
- 2. Replace types without any polymorphism by identity relations.
- 3. Use the following rules:

$$\begin{aligned} (\mathcal{R},\mathcal{S}) &= \{(\bot,\bot)\} \cup \{((x_1,x_2),(y_1,y_2)) \mid \cdots \} \\ [\mathcal{R}] &= \{(\bot,\bot)\} \cup \{([x_1,\ldots,x_n],[y_1,\ldots,y_n]) \mid \cdots \} \\ \mathcal{R} \to \mathcal{S} &= \{(f_1,f_2) \mid \forall (a_1,a_2) \in \mathcal{R}. (f_1 a_1,f_2 a_2) \in \mathcal{S}\} \\ \forall \mathcal{R}.\mathcal{F}(\mathcal{R}) &= \{(u,v) \mid \forall \tau_1,\tau_2,\mathcal{R} \text{ strict and continuous. } \cdots \} \end{aligned}$$

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Then for every  $g :: \tau$ , the pair (g, g) is contained in the (adapted) relational interpretation of  $\tau$ .

### Automatic Generation of Free Theorems

#### At http://linux.tcs.inf.tu-dresden.de/~voigt/ft:

This tool allows to generate free theorems for sublanguages of Haskell as described here.

The source code of the underlying library and a shell-based application using it is available <u>here</u> and <u>here</u>.

Please enter a (polymorphic) type, e.g. "(a -> Bool) -> [a] -> [a]" or simply "filter":

g :: (a -> Bool) -> [a] -> [a]

Please choose a sublanguage of Haskell:

no bottoms (hence no general recursion and no selective strictness)

general recursion but no selective strictness

general recursion and selective strictness

Please choose a theorem style (without effect in the sublanguage with no bottoms):

equational

inequational

Generate

Terms:  $t := \cdots | seq t t$ 

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What about free theorems?

There are counterexamples, again.

- g ::  $(\alpha \rightarrow \mathsf{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$  must work uniformly.
- The output list can only contain elements from the input list *I* and ⊥.
- Which, and in which order/multiplicity, can only be decided based on *I* and the input predicate *p*.
- The only means for this decision are to inspect the length of *I* and to check the outcome of *p* on its elements and on ⊥.
- ► The lists (map f l) and l always have equal length.
- Applying p to an element of (map f l) always has the same outcome as applying (p ∘ f) to the corresponding element of l.
- Applying p to ⊥ has the same outcome as applying (p ∘ f), provided f is strict.
- g with p always chooses "the same" elements from (map f l) for output as does g with (p ∘ f) from l, except that in the former case it outputs their images under f, and that they may also choose, at the same positions, to output ⊥.
- $g p (map f l) = map f (g (p \circ f) l)$ , if f is strict.

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- ↓ Not true! Also possible:
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... ???

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Let us try the same strategy as before, looking at the free theorem for, essentially, seq ::  $\alpha \to \beta \to \beta$ , namely:

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$t_1$	$t_1'$	seq $t_1 t_2$	seq $t'_1$ $t'_2$	$\in \mathcal{S}$
$\perp$	$\perp$	$\perp$	$\perp$	
$\perp$	Ľ	$\perp$	$t_2'$	
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$\perp$	$\perp$	$\perp$	$\perp$	$\checkmark$
$\perp$	Ľ	$\perp$	$t_2'$	
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$t_1$	$t_1'$	seq $t_1 t_2$	seq $t'_1$ $t'_2$	$\in \mathcal{S}$
$\perp$	$\perp$	$\perp$	$\perp$	$\checkmark$
$\perp$	Ľ	$\perp$	$t_2'$	?
Ľ	$\perp$	$t_2$	$\perp$	?
Ľ	Ľ	$t_2$	$t_2'$	$\checkmark$

# (Equational) Free Theorems in the Presence of **seq** [Johann & V., POPL'04]

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Then for every  $g :: \tau$ , the pair (g, g) is contained in the (adapted) relational interpretation of  $\tau$ .

[Wadler, FPCA'89] : for every g ::  $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ ,

$$g p (map f l) = map f (g (p \circ f) l)$$

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*p* ≠ ⊥ and
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[Christiansen et al., PLPV'10] : functional logic programs in Curry

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Gain: Even if relations for un-Pointed types not strict anymore, free theorems continue to hold! [Launchbury & Paterson, ESOP'96]

For example, we get:

► For every g :: Pointed  $\alpha \Rightarrow (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ , g p (map f l) = map f (g (p ∘ f) l)

if f strict.

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. . . . . . .

► For every g ::  $(\alpha \to \text{Bool}) \to [\alpha] \to [\alpha]$  (in the new system), g p (map f l) = map f (g (p ∘ f) l)

without conditions on f.

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- ► The system gives me the free theorem. Here: for strict f, g p (map f l) = map f (g (p o f) l)
- I ask: why must f be strict? What if it were not?
- The system gives me concrete g, as well as p, l, and (non-strict) f that refute the thus naivified free theorem.

For example, search for a g such that

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 $\frac{\Gamma \vdash \tau \notin \text{Pointed}}{\Gamma \Vdash (\text{fix } (\lambda x : \tau . x)) : \tau}$ 

and perform further adaptations .... [Seidel & V., FLOPS'10]

## The Tool on an Example

#### **The Free Theorem**

The theorem generated for functions of the type

f :: (a -> Int) -> Int

is:

```
forall t1,t2 in TYPES, g :: t1 -> t2, g strict.
forall p :: t1 -> Int.
forall q :: t2 -> Int.
(forall x :: t1. p x = q (g x)) ==> (f p = f q)
```

#### The Counterexample

By disregarding the strictness condition on g the theorem becomes wrong. The term

 $f = (|x1 -> (x1 _|_))$ 

```
is a counterexample.
```

```
By setting t1 = t2 = \dots = () and
```

g = const()

the following would be a consequence of the thus "naivified" free theorem:

```
(f p) = (f q)
where
p = (\x1 -> 0)
q = (\x1 -> (case x1 of {() -> 0}))
```

But this is wrong since with the above f it reduces to:

0 = \_|\_

## Another Example

#### **The Free Theorem**

The theorem generated for functions of the type

f :: [a] -> Int

is:

forall t1,t2 in TYPES, g :: t1 -> t2, g strict.
forall x :: [t1]. f x = f (map g x)

#### The Counterexample

Disregarding the strictness condition on g the algorithm found no counterexample.

Are all totality and " $\neq \perp$ " - conditions necessary for every g?

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$$\begin{array}{c|c} \Gamma \vdash \tau_1 \in \mathsf{Seqable} & \Gamma \vdash t_1 : \tau_1 & \Gamma \vdash t_2 : \tau_2 \\ \hline \Gamma \vdash (\mathsf{seq} \ t_1 \ t_2) : \tau_2 \end{array}$$

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Problem: Completely new approach needed due to complications with function types.

# (Equational) Free Theorems in the Presence of **seq** [Johann & V., POPL'04]

For interpreting types as relations:

- 1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
- 2. Replace types without any polymorphism by identity relations.
- 3. Use the following rules:

$$\begin{aligned} (\mathcal{R}, \mathcal{S}) &= \{(\bot, \bot)\} \cup \{((x_1, x_2), (y_1, y_2)) \mid \cdots \} \\ [\mathcal{R}] &= \{(\bot, \bot)\} \cup \{([x_1, \ldots, x_n], [y_1, \ldots, y_n]) \mid \cdots \} \\ \mathcal{R} \to \mathcal{S} &= \{(f_1, f_2) \mid (f_1 = \bot \Leftrightarrow f_2 = \bot) \land \cdots \} \\ \forall \mathcal{R}. \mathcal{F}(\mathcal{R}) &= \{(u, v) \mid \forall \tau_1, \tau_2, \mathcal{R} \text{ strict, continuous,} \\ & \text{ and bottom-reflecting. } \cdots \end{aligned}$$

Then for every  $g :: \tau$ , the pair (g, g) is contained in the (adapted) relational interpretation of  $\tau$ .

Are all totality and " $\neq \perp$ "- conditions necessary for every g? No! Natural approach: replace

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# ... But it Can be Done [Seidel & V., ATPS'09]

At http://www-ps.iai.uni-bonn.de/cgi-bin/polyseq.cgi:

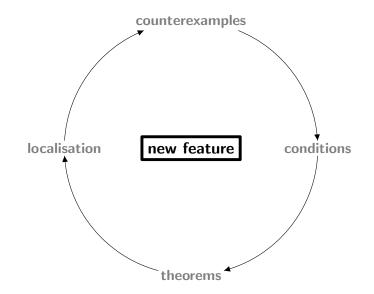
```
The term
```

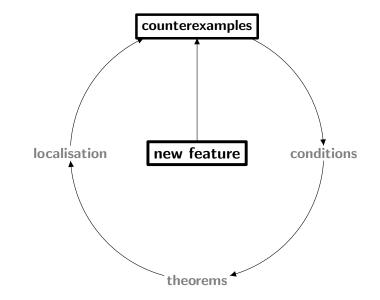
can be typed to the optimal type

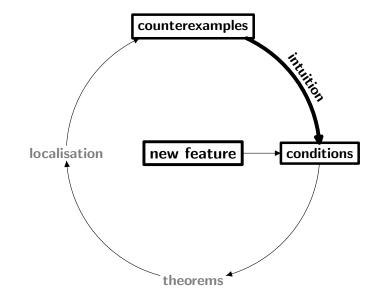
(forall^n a. (forall^e b. ((a ->^n (b ->^e a)) ->^e (a ->^e ([b] ->^e a)))))

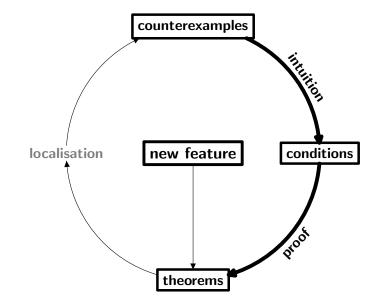
with the free theorem

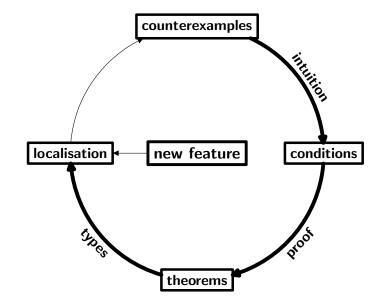
The normal free theorem for the type without marks would be:



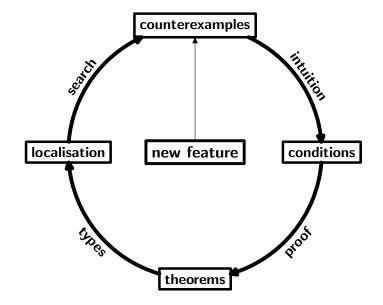


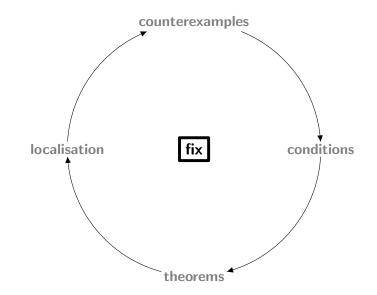


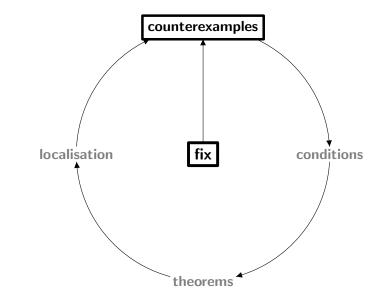


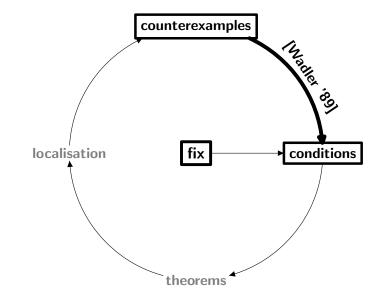


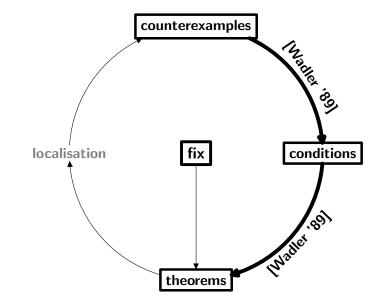
#### Investigating the Impact of a New Feature

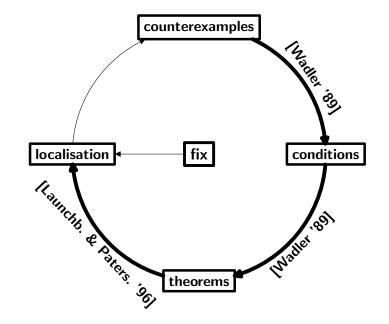


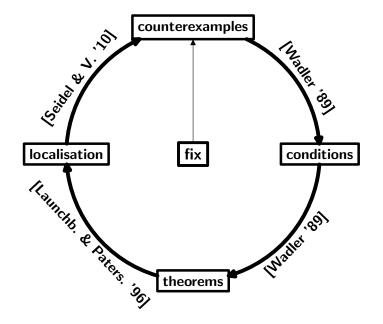


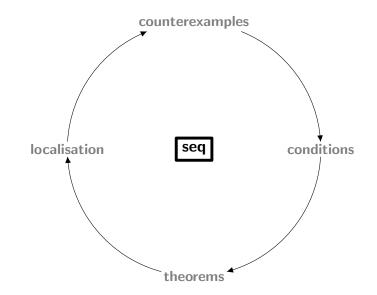


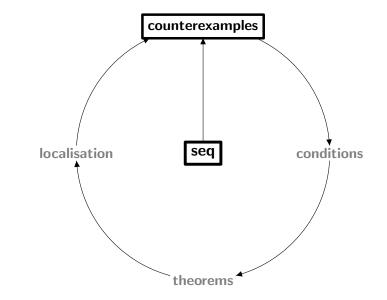


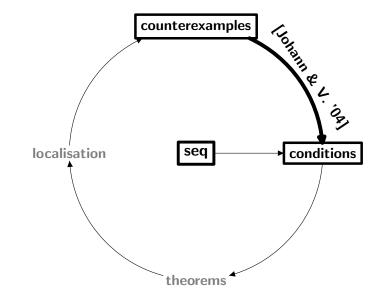


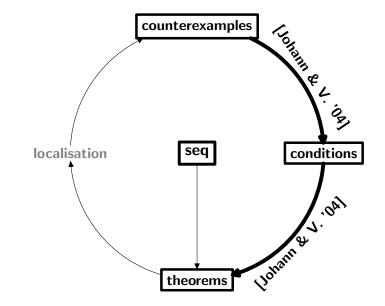


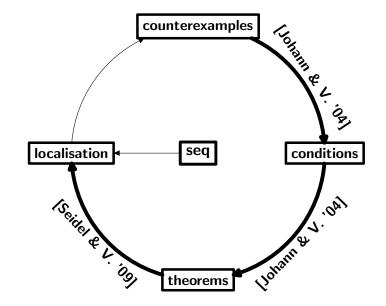


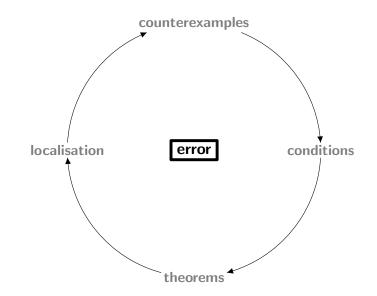


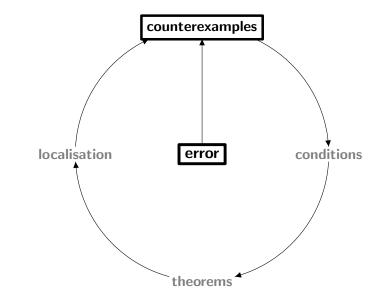


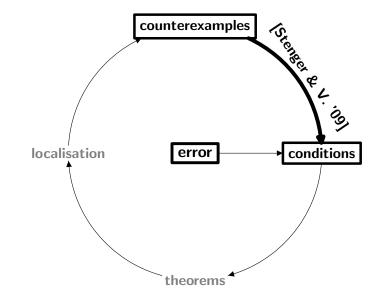


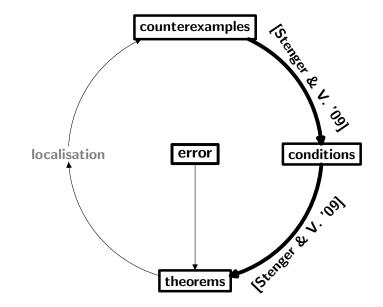




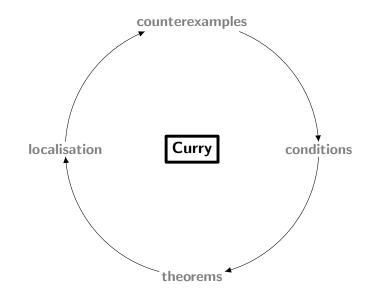




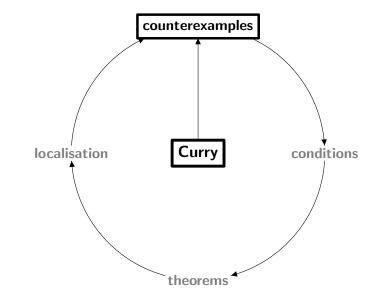




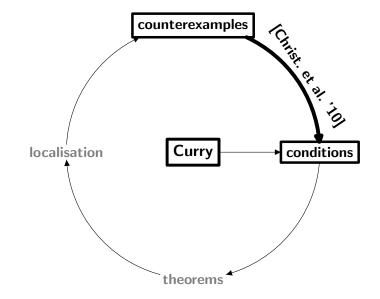
#### Progress for Functional Logic Programs



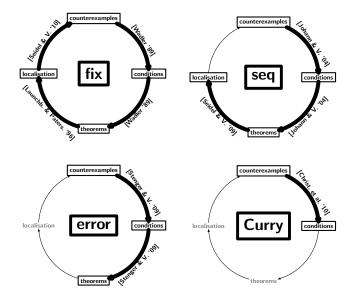
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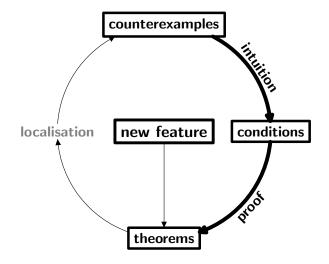
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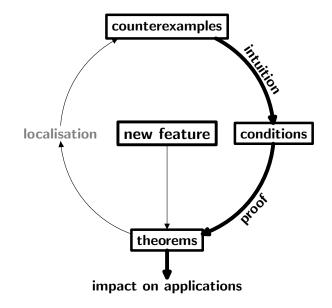
# An Overview (and Challenges)

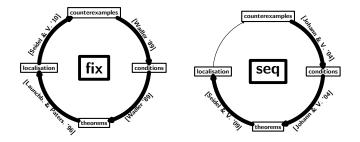


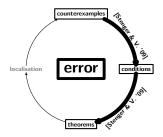
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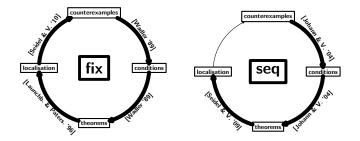


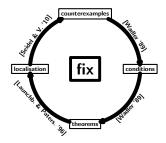
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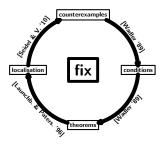






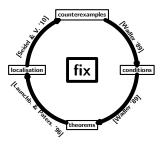






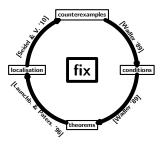
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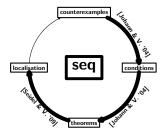
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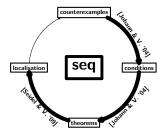


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 $?/\sqrt{\rm Reasoning}$  about invariants for monadic programs [V., ICFP'09]





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On the practical side:

efficiency-improving program transformations

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- (combine well with algebraic techniques, equational reasoning)

On the programming language side:

- push towards full programming languages
- aim for exploiting more expressive type systems

On the practical side:

- efficiency-improving program transformations
- applications in specific domains (more out there?)

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