# Type-Based Reasoning for Real Languages 

Janis Voigtländer<br>University of Bonn

PPL'10

## Parametric Polymorphism in Haskell

A standard function:

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\operatorname{map} f[] & =[] \\
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For every choice of $p, f$, and $I$ :

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\text { takeWhile } p(\operatorname{map} f I)=\operatorname{map} f(\text { takeWhile }(p \circ f) I)
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Why $g p(\operatorname{map} f I)=\operatorname{map} f(g(p \circ f) I)$, Intuitively

- $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha]$ must work uniformly for every instantiation of $\alpha$.


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- That is what was claimed!


## Automatic Generation of Free Theorems

At http://www-ps.iai.uni-bonn.de/ft:

This tool allows to generate free theorems for sublanguages of Haskell as described here.
The source code of the underlying library and a shell-based application using it is available here and here.

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Please enter a (polymorphic) type, e.g. "(a -> Bool) -> [a] -> [a]" or simply "filter":
g :: (a -> Bool) -> [a] -> [a]
Please choose a sublanguage of Haskell:
- no bottoms (hence no general recursion and no selective strictness)
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Generate
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## Automatic Generation of Free Theorems

The theorem generated for functions of the type

```
g :: forall a . (a -> Bool) -> [a] -> [a]
```

in the sublanguage of Haskell with no bottoms is:

```
forall t1,t2 in TYPES, R in REL(t1,t2).
    forall p :: t1 -> Bool.
    forall q :: t2 -> Bool.
        (forall (x, y) in R. p x = q y)
        ==> (forall (z,v) in lift{[]}(R).
            (g p z, g q v) in lift{[]}(R))
```

The structural lifting occurring therein is defined as follows:

```
lift{[]}(R)
    = {([], [])}
    u {(x: xs, y : ys) |
        ((x,y) in R) && ((xs, ys) in lift{[]}(R))}
```

Reducing all permissible relation variables to functions yields:

```
forall t1,t2 in TYPES, f :: t1 -> t2.
    forall p :: t1 -> Bool.
    forall q :: t2 -> Bool.
        (forall x :: tl. p x = q (f x))
        ==> (forall y :: [tl]. map f (g p y) = g q (map f y))
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- Reasoning about invariants for monadic programs [V., ICFP'09]


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But this also allows a $g$ with

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In the example $(\mathrm{g}::(\alpha, \alpha) \rightarrow \alpha)$ :

- Choose a relation $\mathcal{R} \subseteq$ Bool $\times$ Int.
- Call $\left(x_{1}, x_{2}\right)::$ (Bool, Bool) and $\left(y_{1}, y_{2}\right)::$ (Int, Int) related if $\left(x_{1}, y_{1}\right) \in \mathcal{R}$ and $\left(x_{2}, y_{2}\right) \in \mathcal{R}$.


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are not related for choice of, e.g., $\mathcal{R}=\{($ True, 1$)\}$.

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are not related for choice of, e.g., $\mathcal{R}=\{($ True, 1$)\}$.
Reynolds: $\mathrm{g}:: \tau$, with $\alpha$ free in $\tau$, iff for every $\tau_{1}, \tau_{2}, \mathcal{R} \subseteq \tau_{1} \times \tau_{2}$, $g_{\tau_{1}}$ is related to $g_{\tau_{2}}$ by the "propagation" of $\mathcal{R}$ (replaced for $\alpha$ ) along $\tau$.

## Deriving Free Theorems, in General

For interpreting types as relations:

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$$
(\mathcal{R}, \mathcal{S})=\left\{\left(\left(x_{1}, x_{2}\right),\left(y_{1}, y_{2}\right)\right) \mid\left(x_{1}, y_{1}\right) \in \mathcal{R},\left(x_{2}, y_{2}\right) \in \mathcal{S}\right\}
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\forall \mathcal{R} . \mathcal{F}(\mathcal{R}) & =\left\{(u, v) \mid \forall \tau_{1}, \tau_{2}, \mathcal{R} \subseteq \tau_{1} \times \tau_{2} .\left(u_{\tau_{1}}, v_{\tau_{2}}\right) \in \mathcal{F}(\mathcal{R})\right\}
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\end{array}
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Then for every $\mathrm{g}:: \tau$, the pair $(\mathrm{g}, \mathrm{g})$ is contained in the relational interpretation of $\tau$.

Now Formal Counterpart to Intuitive Reasoning
Let $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow([\alpha] \rightarrow[\alpha])$.

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```
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\(\Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) .\left(g_{\tau_{1}} a_{1}, g_{\tau_{2}} a_{2}\right) \in([\mathcal{R}] \rightarrow[\mathcal{R}])\) by definition of \(\mathcal{R} \rightarrow \mathcal{S}\)
```


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$\begin{aligned} & (\mathrm{g}, \mathrm{g}) \in \forall \mathcal{R} .\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) \rightarrow([\mathcal{R}] \rightarrow[\mathcal{R}]) \\ \Leftrightarrow & \forall \tau_{1}, \tau_{2}, \mathcal{R} \subseteq \tau_{1} \times \tau_{2} \cdot\left(g_{\tau_{1}}, \mathrm{~g}_{\tau_{2}}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) \rightarrow([\mathcal{R}] \rightarrow[\mathcal{R}]) \\ \Leftrightarrow & \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) \cdot\left(\mathrm{g}_{\tau_{1}} a_{1}, g_{\tau_{2}} a_{2}\right) \in([\mathcal{R}] \rightarrow[\mathcal{R}]) \\ \Leftrightarrow & \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) . \forall\left(I_{1}, I_{2}\right) \in[\mathcal{R}] . \\ & \quad\left(g_{\tau_{1}} a_{1} I_{1}, g_{\tau_{2}} a_{2} I_{2}\right) \in[\mathcal{R}]\end{aligned}$
by definition of $\mathcal{R} \rightarrow \mathcal{S}$

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Let g : : $(\alpha \rightarrow$ Bool $) \rightarrow([\alpha] \rightarrow[\alpha])$.
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& (\mathrm{g}, \mathrm{~g}) \in \forall \mathcal{R} .\left(\mathcal{R} \rightarrow \text { id }_{\text {Bool }}\right) \rightarrow([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
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& \Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) .\left(g_{\tau_{1}} a_{1}, g_{\tau_{2}} a_{2}\right) \in([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
& \Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) . \forall\left(1_{1}, l_{2}\right) \in[\mathcal{R}] . \\
& \left(g_{\tau_{1}} a_{1} l_{1}, g_{\tau_{2}} a_{2} l_{2}\right) \in[\mathcal{R}] \\
& \Rightarrow \forall\left(a_{1}, a_{2}\right) \in\left(f \rightarrow i d_{\text {Bool }}\right) . \forall\left(l_{1}, l_{2}\right) \in(\operatorname{map} f) . \\
& \left(g_{\tau_{1}} a_{1} l_{1}, g_{\tau_{2}} a_{2} I_{2}\right) \in(\operatorname{map} f) \\
& \text { by instantiating } \mathcal{R}=f \text { and realising that then }[\mathcal{R}]=(\operatorname{map} f)
\end{aligned}
$$

for every function $f:: \tau_{1} \rightarrow \tau_{2}$

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& \Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) .\left(\mathrm{g}_{\tau_{1}} a_{1}, \mathrm{~g}_{\tau_{2}} a_{2}\right) \in([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
& \Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) . \forall\left(1_{1}, 1_{2}\right) \in[\mathcal{R}] . \\
& \left(g_{\tau_{1}} a_{1} l_{1}, g_{T_{2}} a_{2} l_{2}\right) \in[R] \\
& \Rightarrow \forall\left(a_{1}, a_{2}\right) \in\left(f \rightarrow i d_{\text {Bool }}\right) . \forall\left(l_{1}, l_{2}\right) \in(\text { map } f) . \\
& \left(\mathrm{g}_{\tau_{1}} a_{1} l_{1}, \mathrm{~g}_{\tau_{2}} a_{2} I_{2}\right) \in(\operatorname{map} f) \\
& \Rightarrow \forall\left(l_{1}, l_{2}\right) \in(\operatorname{map} f) .\left(g_{\tau_{1}}(p \circ f) I_{1}, g_{\tau_{2}} p l_{2}\right) \in(\operatorname{map} f) \\
& \text { by instantiating }\left(a_{1}, a_{2}\right)=(p \circ f, p) \in\left(f \rightarrow i d_{\text {Bool }}\right)
\end{aligned}
$$

for every function $f:: \tau_{1} \rightarrow \tau_{2}$ and predicate $p:: \tau_{2} \rightarrow$ Bool.

Now Formal Counterpart to Intuitive Reasoning
Let $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow([\alpha] \rightarrow[\alpha])$.
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& (\mathrm{g}, \mathrm{~g}) \in \forall \mathcal{R} .\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) \rightarrow([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
& \Leftrightarrow \forall \tau_{1}, \tau_{2}, \mathcal{R} \subseteq \tau_{1} \times \tau_{2} .\left(\mathrm{g}_{\tau_{1}}, \mathrm{~g}_{\tau_{2}}\right) \in\left(\mathcal{R} \rightarrow \mathrm{id}_{\text {Bool }}\right) \rightarrow([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
& \Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) .\left(\mathrm{g}_{\tau_{1}} a_{1}, \mathrm{~g}_{\tau_{2}} a_{2}\right) \in([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
& \Leftrightarrow V \mathcal{R} . V\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) . V\left(1_{1}, 1_{2}\right) \in[\mathcal{R}] . \\
& \left(g_{\tau_{1}} a_{1} l_{1}, g_{\tau_{2}} a_{2} l_{2}\right) \in[\mathcal{R}] \\
& \Rightarrow \forall\left(a_{1}, a_{2}\right) \in\left(f \rightarrow i d_{\text {Bool }}\right) . \forall\left(l_{1}, l_{2}\right) \in(\text { map } f) . \\
& \left(g_{T_{1}} a_{1} l_{1}, g_{T_{2}} a_{2} I_{2}\right) \in(\text { map } f) \\
& \Rightarrow \forall\left(l_{1}, l_{2}\right) \in(\operatorname{map} f) .\left(g_{\tau_{1}}(p \circ f) l_{1}, g_{\tau_{2}} p l_{2}\right) \in(\operatorname{map} f) \\
& \Leftrightarrow \forall l_{1}::\left[\tau_{1}\right] \text {. map } f\left(\mathrm{~g}_{\tau_{1}}(p \circ f) \iota_{1}\right)=g_{\tau_{2}} p\left(\operatorname{map} f l_{1}\right) \\
& \text { by inlining }
\end{aligned}
$$

for every function $f:: \tau_{1} \rightarrow \tau_{2}$ and predicate $p:: \tau_{2} \rightarrow$ Bool.

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& \Leftrightarrow \forall \mathcal{R} . \forall\left(a_{1}, a_{2}\right) \in\left(\mathcal{R} \rightarrow i d_{\text {Bool }}\right) .\left(\mathrm{g}_{\tau_{1}} a_{1}, \mathrm{~g}_{\tau_{2}} a_{2}\right) \in([\mathcal{R}] \rightarrow[\mathcal{R}]) \\
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& \left(g_{\tau_{1}} a_{1} l_{1}, g_{\tau_{2}} a_{2} l_{2}\right) \in(\operatorname{map} f) \\
& \Rightarrow V\left(h_{1}, l_{2}\right) \in(\operatorname{map} f) \cdot\left(g_{T_{1}}(p \circ f) h_{1}, g_{T_{2}} p l_{2}\right) \in(\text { map } f) \\
& \Leftrightarrow \forall l_{1}::\left[\tau_{1}\right] \text {. map } f\left(\mathrm{~g}_{\tau_{1}}(p \circ f) \iota_{1}\right)=g_{\tau_{2}} p\left(\operatorname{map} f l_{1}\right)
\end{aligned}
$$

for every function $f:: \tau_{1} \rightarrow \tau_{2}$ and predicate $p:: \tau_{2} \rightarrow$ Bool.

That is what was claimed!

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For interpreting types as relations:

1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
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\end{array}
$$

Then for every $\mathrm{g}:: \tau$, the pair $(\mathrm{g}, \mathrm{g})$ is contained in the relational interpretation of $\tau$.

The Polymorphic $\lambda$-Calculus [Girard 1972, Reynolds 1974]
Types: $\tau:=\alpha|\tau \rightarrow \tau| \forall \alpha . \tau$
Terms: $t:=x|\lambda x: \tau . t| t t \mid$ ^人.t $\mid t \tau$

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$$
\ulcorner, x: \tau \vdash x: \tau
$$

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$$
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$$

$$
\frac{\Gamma, x: \tau_{1} \vdash t: \tau_{2}}{\Gamma \vdash\left(\lambda x: \tau_{1} \cdot t\right): \tau_{1} \rightarrow \tau_{2}}
$$

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$$
\ulcorner, x: \tau \vdash x: \tau
$$

$$
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$$

$$
\frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}}
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Terms: $t:=x|\lambda x: \tau . t| t t|\wedge \alpha . t| t \tau$

$$
\begin{gathered}
\Gamma, x: \tau \vdash x: \tau \\
\frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}}
\end{gathered}
$$

$$
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$$
\begin{gathered}
\Gamma, x: \tau \vdash x: \tau \\
\frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}} \\
\frac{\Gamma \vdash t: \forall \alpha . \tau}{\Gamma \vdash\left(t \tau^{\prime}\right): \tau\left[\tau^{\prime} / \alpha\right]}
\end{gathered}
$$

$$
\frac{\Gamma, x: \tau_{1} \vdash t: \tau_{2}}{\Gamma \vdash\left(\lambda x: \tau_{1} \cdot t\right): \tau_{1} \rightarrow \tau_{2}}
$$

$$
\frac{\alpha,\ulcorner\vdash t: \tau}{\Gamma \vdash(\Lambda \alpha, t): \forall \alpha . \tau}
$$

The Polymorphic $\lambda$-Calculus [Girard 1972, Reynolds 1974]
Types: $\tau:=\alpha|\tau \rightarrow \tau| \forall \alpha . \tau \mid$ Bool $\mid[\tau]$
Terms: $t:=x|\lambda x: \tau . t| t t|\wedge \alpha . t| t \tau$

$$
\begin{array}{cc}
\Gamma, x: \tau \vdash x: \tau & \Gamma, x: \tau_{1} \vdash t: \tau_{2} \\
\frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}} & \frac{\alpha, \Gamma \vdash t: \tau}{\Gamma \vdash(\Lambda \alpha . t): \forall \alpha . \tau} \\
\frac{\Gamma \vdash t: \forall \alpha \cdot \tau}{\Gamma \vdash\left(t \tau^{\prime}\right): \tau\left[\tau^{\prime} / \alpha\right]} &
\end{array}
$$

The Polymorphic $\lambda$-Calculus [Girard 1972, Reynolds 1974]

$$
\begin{aligned}
& \text { Types: } \tau:=\alpha|\tau \rightarrow \tau| \forall \alpha . \tau \mid \text { Bool } \mid[\tau] \\
& \text { Terms: } t:=x|\lambda x: \tau . t| t t|\wedge \alpha . t| t \tau \mid \\
& \text { True | False | [ }]_{\tau}|t: t| \text { case } t \text { of }\{\cdots\} \\
& \Gamma, x: \tau \vdash x: \tau \\
& \frac{\Gamma, x: \tau_{1} \vdash t: \tau_{2}}{\Gamma \vdash\left(\lambda x: \tau_{1} \cdot t\right): \tau_{1} \rightarrow \tau_{2}} \\
& \frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}} \\
& \frac{\alpha, \Gamma \vdash t: \tau}{\Gamma \vdash(\Lambda \alpha, t): \forall \alpha . \tau} \\
& \frac{\Gamma \vdash t: \forall \alpha \cdot \tau}{\Gamma \vdash\left(t \tau^{\prime}\right): \tau\left[\tau^{\prime} / \alpha\right]}
\end{aligned}
$$

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& \text { Terms: } t:=x|\lambda x: \tau . t| t t|\wedge \alpha . t| t \tau \mid \\
& \text { True | False | [ }]_{\tau}|t: t| \text { case } t \text { of }\{\cdots\} \\
& \Gamma, x: \tau \vdash x: \tau \\
& \frac{\Gamma, x: \tau_{1} \vdash t: \tau_{2}}{\Gamma \vdash\left(\lambda x: \tau_{1} \cdot t\right): \tau_{1} \rightarrow \tau_{2}} \\
& \frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}} \\
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& \Gamma \vdash \text { True: Boil , 「 } \vdash \text { False: col , } \Gamma \vdash[]_{\tau}:[\tau] \\
& \frac{\Gamma \vdash t: \text { Boo } \quad \Gamma \vdash u: \tau \quad \Gamma \vdash v: \tau}{\Gamma \vdash(\text { case } t \text { of }\{\text { True } \rightarrow u ; \text { False } \rightarrow v\}): \tau} \\
& \frac{\Gamma \vdash t:\left[\tau^{\prime}\right] \quad \Gamma \vdash u: \tau \quad \Gamma, x_{1}: \tau^{\prime}, x_{2}:\left[\tau^{\prime}\right] \vdash v: \tau}{\Gamma \vdash\left(\text { case } t \text { of }\left] \rightarrow u ;\left(x_{1}: x_{2}\right) \rightarrow v\right\}\right): \tau}
\end{aligned}
$$

## Deriving Free Theorems, in General

For interpreting types as relations:

1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
2. Replace types without any polymorphism by identity relations.
3. Use the following rules:

$$
\begin{array}{ll}
(\mathcal{R}, \mathcal{S}) & =\left\{\left(\left(x_{1}, x_{2}\right),\left(y_{1}, y_{2}\right)\right) \mid\left(x_{1}, y_{1}\right) \in \mathcal{R},\left(x_{2}, y_{2}\right) \in \mathcal{S}\right\} \\
{[\mathcal{R}]} & =\left\{\left(\left[x_{1}, \ldots, x_{n}\right],\left[y_{1}, \ldots, y_{n}\right]\right) \mid n \geq 0,\left(x_{i}, y_{i}\right) \in \mathcal{R}\right\} \\
\mathcal{R} \rightarrow \mathcal{S} & =\left\{\left(f_{1}, f_{2}\right) \mid \forall\left(a_{1}, a_{2}\right) \in \mathcal{R} .\left(f_{1} a_{1}, f_{2} a_{2}\right) \in \mathcal{S}\right\} \\
\forall \mathcal{R} . \mathcal{F}(\mathcal{R}) & =\left\{(u, v) \mid \forall \tau_{1}, \tau_{2}, \mathcal{R} \subseteq \tau_{1} \times \tau_{2} .\left(u_{\tau_{1}}, v_{\tau_{2}}\right) \in \mathcal{F}(\mathcal{R})\right\}
\end{array}
$$

Then for every $\mathrm{g}:: \tau$, the pair $(\mathrm{g}, \mathrm{g})$ is contained in the relational interpretation of $\tau$.

## General Recursion

We had that for every

$$
\mathrm{g}::(\alpha \rightarrow \text { Bool }) \rightarrow[\alpha] \rightarrow[\alpha]
$$

it holds

$$
g p(\operatorname{map} f l)=\operatorname{map} f(g(p \circ f) I)
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for every choice of $p, f$, and $I$.

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& \mathrm{g}::(\alpha \rightarrow \text { Bool }) \rightarrow[\alpha] \rightarrow[\alpha] \\
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The above free theorem fails!
Consider, e.g., $p=$ id, $f=$ const True, and $I=[]$.

## Why $g p(\operatorname{map} f I)=\operatorname{map} f(g(p \circ f) I)$, Intuitively

- $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha]$ must work uniformly for every instantiation of $\alpha$.
- The output list can only contain elements from the input list $l$.
- Which, and in which order/multiplicity, can only be decided based on I and the input predicate $p$.
- The only means for this decision are to inspect the length of I and to check the outcome of $p$ on its elements.
- The lists (map $f I$ ) and $I$ always have equal length.
- Applying $p$ to an element of (map $f l$ ) always has the same outcome as applying $(p \circ f)$ to the corresponding element of $l$.
- $g$ with $p$ always chooses "the same" elements from (map $f l$ ) for output as does $g$ with $(p \circ f)$ from $l$, except that in the former case it outputs their images under $f$.
- $g p(\operatorname{map} f l)$ is equivalent to $\operatorname{map} f(g(p \circ f) I)$.
- That is what was claimed!

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- $g p(\operatorname{map} f l)$ is equivalent to $\operatorname{map} f(g(p \circ f) I)$, if $f$ is strict.
- This gives a revised free theorem.

The Polymorphic $\lambda$-Calculus [Girard 1972, Reynolds 1974]

$$
\begin{aligned}
& \text { Types: } \tau:=\alpha|\tau \rightarrow \tau| \forall \alpha . \tau \mid \text { col } \mid[\tau] \\
& \text { Terms: } t:=x|\lambda x: \tau . t| t t|\wedge \alpha . t| t \tau \mid \\
& \text { True | False | [ }]_{\tau}|t: t| \text { case } t \text { of }\{\cdots\} \\
& \Gamma, x: \tau \vdash x: \tau \\
& \frac{\Gamma, x: \tau_{1} \vdash t: \tau_{2}}{\Gamma \vdash\left(\lambda x: \tau_{1} \cdot t\right): \tau_{1} \rightarrow \tau_{2}} \\
& \frac{\Gamma \vdash t: \tau_{1} \rightarrow \tau_{2} \quad \Gamma \vdash u: \tau_{1}}{\Gamma \vdash(t u): \tau_{2}} \\
& \frac{\Gamma \vdash t: \forall \alpha . \tau}{\Gamma \vdash\left(t \tau^{\prime}\right): \tau\left[\tau^{\prime} / \alpha\right]} \\
& \frac{\Gamma \vdash t: \tau \quad \Gamma \vdash u:[\tau]}{\Gamma \vdash(t: u):[\tau]} \\
& \Gamma \vdash \text { True: Boo , 「 } \vdash \text { False: col , } \Gamma \vdash[]_{\tau}:[\tau] \\
& \frac{\Gamma \vdash t: \text { Boo } \quad \Gamma \vdash u: \tau \quad \Gamma \vdash v: \tau}{\Gamma \vdash(\text { case } t \text { of }\{\text { True } \rightarrow u ; \text { False } \rightarrow v\}): \tau} \\
& \frac{\Gamma \vdash t:\left[\tau^{\prime}\right] \quad \Gamma \vdash u: \tau \quad \Gamma, x_{1}: \tau^{\prime}, x_{2}:\left[\tau^{\prime}\right] \vdash v: \tau}{\Gamma \vdash\left(\text { case } t \text { of }\left] \rightarrow u ;\left(x_{1}: x_{2}\right) \rightarrow v\right\}\right): \tau}
\end{aligned}
$$

## Adding General Recursion

Terms: $t:=\cdots \mid \boldsymbol{f i x} t$

## Adding General Recursion

Terms: $t:=\cdots \mid$ fix $t$

$$
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$$
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$$

To provide semantics, types are interpreted as pointed complete partial orders, and:

$$
\operatorname{fix} t=\bigsqcup_{i \geq 0}\left(t^{i} \perp\right)
$$

## Use in an Example

The function

$$
\begin{aligned}
& \text { filter }::(\alpha \rightarrow \text { Bool }) \rightarrow[\alpha] \rightarrow[\alpha] \\
& \text { filter } p[]=[] \\
& \text { filter } p(a: a s)=\text { if } p \text { a then } a:(\text { filter } p \text { as) } \\
& \text { else filter } p \text { as }
\end{aligned}
$$

has a "desugaring" in the (extended) calculus as follows:
fix $(\lambda f:(\forall \alpha .(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha])$.
$\Lambda \alpha . \lambda p:(\alpha \rightarrow$ Bool $) . \lambda I:[\alpha]$.
case $/$ of $\left\{[] \quad \rightarrow[]_{\alpha}\right.$;
$(a: a s) \rightarrow$ case $p a$ of

$$
\begin{aligned}
\{\text { True } & \rightarrow a:\left(\begin{array}{l}
f \\
p
\end{array} a s\right) \\
\text { False } & \rightarrow f \alpha p a s\}\})
\end{aligned}
$$

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And what about free theorems?

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And what about free theorems?
Let us check the one for, essentially, fix :: $(\alpha \rightarrow \alpha) \rightarrow \alpha$, namely:

$$
\begin{aligned}
& \forall \tau_{1}, \tau_{2}, \mathcal{R} \subseteq \tau_{1} \times \tau_{2} \cdot \forall t_{1}:: \tau_{1} \rightarrow \tau_{1}, t_{2}:: \tau_{2} \rightarrow \tau_{2} \\
& \quad\left(\forall\left(a_{1}, a_{2}\right) \in \mathcal{R} .\left(t_{1} a_{1}, t_{2} a_{2}\right) \in \mathcal{R}\right) \\
& \quad \Rightarrow\left(\text { fix } t_{1}, \text { fix } t_{2}\right) \in \mathcal{R}
\end{aligned}
$$

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& \quad\left(\forall\left(a_{1}, a_{2}\right) \in \mathcal{R} .\left(t_{1} a_{1}, t_{2} a_{2}\right) \in \mathcal{R}\right) \\
& \Rightarrow\left(\bigsqcup_{i \geq 0}\left(t_{1}^{i} \perp\right), \bigsqcup_{i \geq 0}\left(t_{2}^{i} \perp\right)\right) \in \mathcal{R}
\end{aligned}
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\end{aligned}
$$

We can guarantee the above, provided all relations are restricted to be strict and continuous.

## Deriving Free Theorems in Presence of General Recursion

For interpreting types as relations:

1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
2. Replace types without any polymorphism by identity relations.
3. Use the following rules:

$$
\begin{array}{ll}
(\mathcal{R}, \mathcal{S}) & =\{(\perp, \perp)\} \cup\left\{\left(\left(x_{1}, x_{2}\right),\left(y_{1}, y_{2}\right)\right) \mid \cdots\right\} \\
{[\mathcal{R}]} & =\{(\perp, \perp)\} \cup\left\{\left(\left[x_{1}, \ldots, x_{n}\right],\left[y_{1}, \ldots, y_{n}\right]\right) \mid \cdots\right\} \\
\mathcal{R} \rightarrow \mathcal{S} & =\left\{\left(f_{1}, f_{2}\right) \mid \forall\left(a_{1}, a_{2}\right) \in \mathcal{R} .\left(f_{1} a_{1}, f_{2} a_{2}\right) \in \mathcal{S}\right\} \\
\forall \mathcal{R} . \mathcal{F}(\mathcal{R}) & =\left\{(u, v) \mid \forall \tau_{1}, \tau_{2}, \mathcal{R} \text { strict and continuous. } \cdots\right\}
\end{array}
$$

## Deriving Free Theorems in Presence of General Recursion

For interpreting types as relations:

1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
2. Replace types without any polymorphism by identity relations.
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Then for every $\mathrm{g}:: \tau$, the pair $(\mathrm{g}, \mathrm{g})$ is contained in the (adapted) relational interpretation of $\tau$.

## Automatic Generation of Free Theorems

## At http://linux.tcs.inf.tu-dresden.de/~voigt/ft:

This tool allows to generate free theorems for sublanguages of Haskell as described here.
The source code of the underlying library and a shell-based application using it is available here and here.

Please enter a (polymorphic) type, e.g. "(a -> Bool) -> [a] -> [a]" or simply "filter":
9 :: (a -> Bool) -> [a] -> [a]
Please choose a sublanguage of Haskell:
© no bottoms (hence no general recursion and no selective strictness)
-general recursion but no selective strictness
${ }^{\bullet}$ general recursion and selective strictness
Please choose a theorem style (without effect in the sublanguage with no bottoms):

- equational
- inequational

Generate

Adding Selective Strictness
Terms: $t:=\cdots \mid \boldsymbol{s e q} t t$

## Adding Selective Strictness

Terms: $t:=\cdots \mid$ seq $t t$

$$
\frac{\Gamma \vdash t_{1}: \tau_{1} \quad \Gamma \vdash t_{2}: \tau_{2}}{\Gamma \vdash\left(\mathbf{s e q} t_{1} t_{2}\right): \tau_{2}}
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What about free theorems?
There are counterexamples, again.

## Without seq, $g p(\operatorname{map} f l)=\operatorname{map} f(g(p \circ f) I)$

- $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha]$ must work uniformly.
- The output list can only contain elements from the input list / and $\perp$.
- Which, and in which order/multiplicity, can only be decided based on I and the input predicate $p$.
- The only means for this decision are to inspect the length of I and to check the outcome of $p$ on its elements and on $\perp$.
- The lists (map $f I$ ) and $I$ always have equal length.
- Applying $p$ to an element of (map $f l$ ) always has the same outcome as applying $(p \circ f)$ to the corresponding element of $l$.
- Applying $p$ to $\perp$ has the same outcome as applying ( $p \circ f$ ), provided $f$ is strict.
- $g$ with $p$ always chooses "the same" elements from (map $f l$ ) for output as does $g$ with $(p \circ f)$ from $l$, except that in the former case it outputs their images under $f$, and that they may also choose, at the same positions, to output $\perp$.
- g $p(\operatorname{map} f l)=\operatorname{map} f(g(p \circ f) I)$, if $f$ is strict.

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Let us try the same strategy as before, looking at the free theorem for, essentially, seq :: $\alpha \rightarrow \beta \rightarrow \beta$, namely:
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Case distinction:

| $t_{1}$ | $t_{1}^{\prime}$ | seq $t_{1} t_{2}$ | seq $t_{1}^{\prime} t_{2}^{\prime}$ | $\in \mathcal{S}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\perp$ | $\perp$ | $\perp$ | $\perp$ |  |
| $\perp$ | $\perp$ | $\perp$ | $t_{2}^{\prime}$ |  |
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## (Equational) Free Theorems in the Presence of seq [Johann \& V., POPL'04]

For interpreting types as relations:

1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
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## Revising Free Theorems

[Wadler, FPCA'89] : for every $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha]$,

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[Christiansen et al., PLPV'10] : functional logic programs in Curry


## Necessity of Certain Restrictions?

We have, with fix:

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$$
\begin{array}{cc}
\text { Pointed } \alpha, \Gamma \vdash \alpha \in \text { Pointed } & \frac{\Gamma \vdash \tau_{2} \in \text { Pointed }}{\Gamma \vdash \tau_{1} \rightarrow \tau_{2} \in \text { Pointed }} \\
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Pointed $\alpha, \Gamma \vdash \alpha \in$ Pointed
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$$
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$$
\Gamma \vdash[\tau] \in \text { Pointed }
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Gain: Even if relations for un-Pointed types not strict anymore, free theorems continue to hold! [Launchbury \& Paterson, ESOP'96]

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For example, we get:

- For every g :: Pointed $\alpha \Rightarrow(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha]$,

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if $f$ strict.

- For every $\mathrm{g}::(\alpha \rightarrow$ Bool $) \rightarrow[\alpha] \rightarrow[\alpha]$ (in the new system),

$$
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$$

without conditions on $f$.

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- The system gives me the free theorem. Here: for strict $f, \quad \mathrm{~g} p(\operatorname{map} f I)=\operatorname{map} f(\mathrm{~g}(p \circ f) I)$
- I ask: why must $f$ be strict? What if it were not?
- The system gives me concrete $g$, as well as $p$, $l$, and (non-strict) $f$ that refute the thus naivified free theorem.


## Idea 1: Use the Pointed-Approach

For example, search for ag such that

$$
\text { Pointed } \alpha \vdash \mathrm{g}:(\alpha \rightarrow \text { Bool }) \rightarrow[\alpha] \rightarrow[\alpha]
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but not

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Natural first rule:

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## Idea 2: Use the Curry/Howard-Isomorphism

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[Seidel \& V., FLOPS'10]

## The Tool on an Example

## The Free Theorem

The theorem generated for functions of the type

```
f:: (a -> Int) -> Int
```

is:

```
forall tl,t2 in TYPES, g :: t1 -> t2, g strict.
    forall p :: tl -> Int.
        forall q :: t2 -> Int.
        (forall x :: t1. p x = q (g x)) ==> (f p = f q)
```


## The Counterexample

By disregarding the strictness condition on g the theorem becomes wrong. The term

```
f =(\x1 -> (x1__|_))
```

is a counterexample.

```
By setting t1 = t2 = ... = () and
```

```
g = const ()
```

the following would be a consequence of the thus "naivified" free theorem:

```
(f p) = (fqq)
where
p = (\x1 -> 0)
q = (\x1 -> (case xl of {() -> 0}))
```

But this is wrong since with the above $f$ it reduces to:

```
0 = _ I_
```


## Another Example

## The Free Theorem

The theorem generated for functions of the type

```
f :: [a] -> Int
```

is:

```
forall t1,t2 in TYPES, g :: t1 -> t2, g strict.
```

    forall \(x\) : : [tl]. \(f x=f(\operatorname{map} g x)\)
    
## The Counterexample

Disregarding the strictness condition on g the algorithm found no counterexample.

## Question 1, for (fix and) seq

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by

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where

Seqable $\alpha, \Gamma \vdash \alpha \in$ Seqable
$\Gamma \vdash$ Bool $\in$ Seqable

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Problem: Completely new approach needed due to complications with function types.

## (Equational) Free Theorems in the Presence of seq [Johann \& V., POPL'04]

For interpreting types as relations:

1. Replace (implicit quantification over) type variables by (explicit) quantification over relation variables.
2. Replace types without any polymorphism by identity relations.
3. Use the following rules:

\[

\]

Then for every $\mathrm{g}:: \tau$, the pair $(\mathrm{g}, \mathrm{g})$ is contained in the (adapted) relational interpretation of $\tau$.

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## But it Can be Done [Seidel \& V., ATPS'09]

At http://www-ps.iai.uni-bonn.de/cgi-bin/polyseq.cgi:

## The term

```
t = (/\a.
    (八b
    (\c::(a -> (b -> a)).
        (fix (\h::(a -> ([b] -> a)).
            \\n::a.
            (\ys::[b]
                (seq (c n) (case ys of {[] -> n; x:xs ->
                                    (seq xs (seq x (let n' = ((c n) x) in
                                    ((h n') xs))))}))|!))|))
```

can be typed to the optimal type

```
(forall^n a. (forall^e b. ((a ->^n (b >>^e a)) >>^e (a ->^e ([b] ->^e a)))))
```

with the free theorem

```
forall t1,t2 in TYPES, f :: t1 -> t2, f strict
forall t3,t4 in TYPES, g:: t3 > t t4,g strict and total.
    ((t_{t1}_{t3} /=_l_) <<> (t_{t2}_{t4} /= _ l_))
    && (forall p :: t1 -> (t3 -> t1).
        forall q :: t2 -> (t4 >> t2).
        (forall x :: t1
            ((p x/= _ ) ) <> (q(fx)/= _ __))
            && (forall y :: t3. f (p x y) = q (f x) (g y)))
```



```
            && (forall z :: t1.
                                ((t {t1} {t3} p z/= |) \Leftrightarrow(t {t2} {t4} q (f z)/= |))
                                && (forall v :: [t3].
                                f(t_{tl}_{t3}p zv)=t_{t2}_{t4} q(f z) (map_{t3}_{t4} g v)|)))
```

The normal free theorem for the type without marks would be:

## Investigating the Impact of a New Feature



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## Progress for General Recursion



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## Progress for General Recursion



## Progress for Selective Strictness



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## Progress for Imprecise Errors



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## Progress for Functional Logic Programs



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## An Overview (and Challenges)



## Impact on Applications



## Impact on Applications



## Specific Extensions and Specific Applications



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$\checkmark$ Short Cut Fusion [Gill et al., FPCA'93]
$\checkmark$ The Dual of Short Cut Fusion [Svenningsson, ICFP'02]
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- thus lead to interesting theorems about programs
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On the programming language side:

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- aim for exploiting more expressive type systems

On the practical side:

- efficiency-improving program transformations
- applications in specific domains (more out there?)


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