# Much Ado about Two <br> A Pearl on Parallel Prefix Computation 

Janis Voigtländer

Technische Universität Dresden

## POPL'08

## Parallel Prefix Computation

Given: inputs $x_{1}, \ldots, x_{n}$ and an associative operation $\oplus$
Task: compute the values $x_{1}, x_{1} \oplus x_{2}, \ldots, x_{1} \oplus x_{2} \oplus \cdots \oplus x_{n}$

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## Parallel Prefix Computation

Alternative:


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Or:


Or: ...

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## Sklansky's Method in Haskell

$$
\begin{aligned}
& \text { sklansky }::(\alpha \rightarrow \alpha\rightarrow \alpha) \rightarrow[\alpha] \rightarrow[\alpha] \\
& \text { sklansky }(\oplus)[x]=[x] \\
& \text { sklansky }(\oplus) x s=u s+v s \\
& \text { where } t=(\text { length xs }+1) \text { 'div' } 2 \\
&(y s, z s)=\text { splitAt } t x s \\
& u s=\text { sklansky }(\oplus) \text { ys } \\
& v s=[(\text { last us }) \oplus v \mid v \leftarrow \text { sklansky }(\oplus) z s]
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Wanted: reasoning principles, verification techniques, systematic testing approach, ...

## A Knuth-like 0-1-2-Principle

Given: serial :: $(\alpha \rightarrow \alpha \rightarrow \alpha) \rightarrow[\alpha] \rightarrow[\alpha]$

$$
\begin{aligned}
& \text { serial }(\oplus)(x: x s)=\text { go } x \times s \\
& \text { where go } x[]=[x] \\
& \text { go } x(y: y s)=x: g o(x \oplus y) y s
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data Three $=$ Zero $\mid$ One $\mid$ Two

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serial $(\oplus)(x: x s)=$ go $x \times s$
where go $x[] \quad=[x]$
go $x(y: y s)=x: g o(x \oplus y) y s$
candidate $::(\alpha \rightarrow \alpha \rightarrow \alpha) \rightarrow[\alpha] \rightarrow[\alpha]$
data Three $=$ Zero $\mid$ One $\mid$ Two
Theorem: If for every xs :: [Three] and associative $(\oplus)::$ Three $\rightarrow$ Three $\rightarrow$ Three,

$$
\text { candidate }(\oplus) x s \equiv \text { serial }(\oplus) x s,
$$

then the same holds for every type $\tau$, xs $::[\tau]$, and associative $(\oplus):: \tau \rightarrow \tau \rightarrow \tau$.

## Why 0-1-2? And How?

A question: What can candidate :: $(\alpha \rightarrow \alpha \rightarrow \alpha) \rightarrow[\alpha] \rightarrow[\alpha]$ do, given an operation $\oplus$ and input list $\left[x_{1}, \ldots, x_{n}\right]$ ?

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The answer: Create an output list consisting of expressions built from $\oplus$ and $x_{1}, \ldots, x_{n}$. Independently of the $\alpha$-type!

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The answer: Create an output list consisting of expressions built from $\oplus$ and $x_{1}, \ldots, x_{n}$. Independently of the $\alpha$-type!

Among these expressions, there are good ones:


bad ones:


## Why 0-1-2? And How?

Among these expressions, there are good ones:

bad ones:

and ones in the wrong position:

## That's How!

Let

| $\oplus_{1}$ | Zero | One | Two |  | $\oplus_{2}$ | Zero | One | Two |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Zero | Zero | One | Two | and | Zero | Zero | One | Two |
| One | One | Two | Two |  | One | One | One | Two |
| Two | Two | Two | Two |  | Two | Two | One | Two |

## That's How!

Let

| $\oplus_{1}$ | Zero | One | Two |  |  | $\oplus_{2}$ | Zero | One |
| :---: | :---: | :---: | :--- | :--- | :--- | :--- | :--- | :--- |
| Two | Two |  |  |  |  |  |  |  |
| Zero | Zero | One | Two |  |  |  |  |  |
| One | One | Two | Two |  | and |  | Zero | Zero |
| Twe | One | Two |  |  |  |  |  |  |
| Two | Two | Two | Two |  |  | Two | One | Two |
|  | Two | One | Two |  |  |  |  |  |

If candidate $\left(\oplus_{1}\right)$ is correct on each list of the form

$$
\left[(\text { Zero },)^{*} \text { One }(, \text { Zero })^{*}(, \text { Two })^{*}\right]
$$

## That's How!

Let

| $\oplus_{1}$ | Zero | One | Two | and | $\oplus_{2}$ | Zero | One | Two |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Zero | Zero | One | Two |  | Zero | Zero | One | Two |
| One | One | Two | Two |  | One | One | One | Two |
| Two | Two | Two | Two |  | Two | Two | One | Two |

If candidate $\left(\oplus_{1}\right)$ is correct on each list of the form

$$
\left[(\text { Zero },)^{*} \text { One }(, \text { Zero })^{*}(, \text { Two })^{*}\right]
$$

and candidate $\left(\oplus_{2}\right)$ is correct on each list of the form

$$
\left.\left[(\text { Zero, })^{*} \text { One, Two (, Zero }\right)^{*}\right]
$$

then candidate is correct for associative $\oplus$ at arbitrary type.

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## The Overall Proof

- To get going, uses relational parametricity [Reynolds 1983] to derive a free theorem from candidate's type [Wadler 1989].
- Remaining proof largely done by program calculation. (But also a bit "by picture".)
- Formalisation available in Isabelle/HOL:
S. Böhme.

Much Ado about Two. Formal proof development.
The Archive of Formal Proofs.
http://afp.sf.net/entries/MuchAdoAboutTwo.shtml

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