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# Concatenate, Reverse and Map Vanish For Free

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## List-Producers using ++, reverse and map:

*part* even [1..10] = [2, 4, 6, 8, 10, 1, 3, 5, 7, 9]

```
part :: (α → Bool) → [α] → [α]
part p l = let f [] z = z
              f (x : xs) z = if p x then x : (f xs z)
                              else f xs (z ++ [x])
            in f l []
```

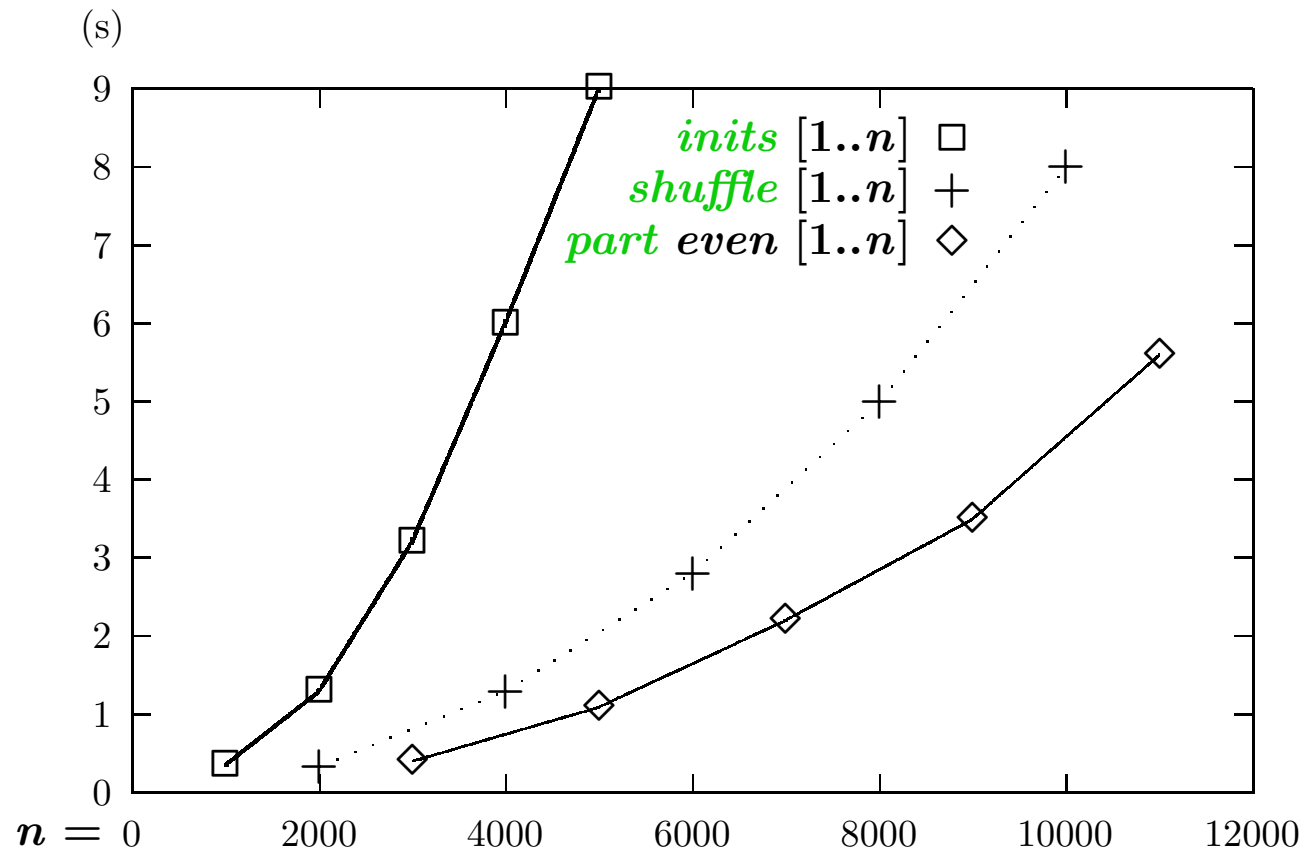
*shuffle* “whatever” = “waeervth”

```
shuffle :: [α] → [α]
shuffle [] = []
shuffle (x : xs) = x : (reverse (shuffle xs))
```

*inits* [1..4] = [[], [1], [1, 2], [1, 2, 3], [1, 2, 3, 4]]

```
inits :: [α] → [[α]]
inits [] = [[]]
inits (x : xs) = [] : (map (x :) (inits xs))
```

# Runtimes dominated by repeated **List-Operations**:



# Efficiency by List Abstraction (*part*):

```

part :: (α → Bool) → [α] → [α]
part p l = let f [] z = z
              f (x : xs) z = if p x then x : (f xs z)
                              else f xs (z ++ (x : []))
            in f l []
  
```

↓

```

part* :: (α → Bool) → [α] → [α]
part* p l = vanish++ (λn c a →
                       let f [] z = z
                           f (x : xs) z = if p x then x 'c' (f xs z)
                                           else f xs (z 'a' (x 'c' n))
                       in f l n)
  
```

```

vanish++ :: (∀β . β → (α → β → β)
              → (β → β → β) → β) → [α]
vanish++ g = g [] (:) (++)
  
```

Such list abstraction can be performed *automatically*, based on the **rank-2** polymorphic type of *vanish*<sub>++</sub> and partial type inference [Chitil, 1999]!

Runtimes: $n =$	3000	5000	7000	9000	11000
<i>part</i> even [1..n]	0.4	1.1	2.2	3.5	5.6 (s)
<i>part</i> <sup>*</sup> even [1..n]	0.004	0.006	0.009	0.012	0.015 (s)

## Efficiency by List Abstraction (*shuffle*):

$$\begin{aligned}
 & \mathit{shuffle} :: [\alpha] \rightarrow [\alpha] \\
 & \mathit{shuffle} \ [] = [] \\
 & \mathit{shuffle} (x : xs) = x : (\mathit{reverse} (\mathit{shuffle} xs))
 \end{aligned}$$

↓

$$\begin{aligned}
 & \mathit{shuffle}^* :: [\alpha] \rightarrow [\alpha] \\
 & \mathit{shuffle}^* l = \mathit{vanish}_{rev} (\lambda n \ c \ r \rightarrow \\
 & \quad \text{let } f \ [] = n \\
 & \quad \quad f (x : xs) = x \ 'c' (r (f xs)) \\
 & \quad \text{in } f l)
 \end{aligned}$$

$$\mathit{vanish}_{rev} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$$

$$\mathit{vanish}_{rev} g \sqsupseteq g \ [] \ (\cdot) \ \mathit{reverse}$$

Runtimes: $n =$	2000	4000	6000	8000	10000
<i>shuffle</i> [1.. $n$ ]	0.33	1.3	2.8	5.0	8.0 (s)
<i>shuffle</i> <sup>*</sup> [1.. $n$ ]	0.005	0.01	0.016	0.02	0.025 (s)

## Efficiency by List Abstraction (*inits*):

$$\begin{aligned}
 \mathit{inits} &:: [\alpha] \rightarrow [[\alpha]] \\
 \mathit{inits} \ [] &= [] : [] \\
 \mathit{inits} (x : xs) &= [] : (\mathit{map} (x :) (\mathit{inits} xs))
 \end{aligned}$$

⇓

$$\begin{aligned}
 \mathit{inits}^* &:: [\alpha] \rightarrow [[\alpha]] \\
 \mathit{inits}^* l &= \mathit{vanish}_{++,rev,map} (\lambda n \mathit{c a r m} \rightarrow \\
 &\quad \text{let } f \ [] = [] \ 'c' \ n \\
 &\quad \quad f (x : xs) = [] \ 'c' (m (x :) (f xs)) \\
 &\quad \text{in } f \ l)
 \end{aligned}$$

$$\begin{aligned}
 \mathit{vanish}_{++,rev,map} &:: (\forall \beta . \dots) \rightarrow [\alpha] \\
 \mathit{vanish}_{++,rev,map} g &\sqsupseteq g \ [] \ (:) \ (++) \ \mathit{reverse} \ \mathit{map}
 \end{aligned}$$

Runtimes: $n =$	1000	2000	3000	4000	5000
<i>inits</i> [1.. $n$ ]	0.35	1.3	3.2	6.0	9.0 (s)
<i>inits</i> <sup>*</sup> [1.. $n$ ]	0.08	0.3	0.7	1.3	2.0 (s)

## Actual Definitions of the *vanish*-Combinators:

$vanish_{++} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$

$vanish_{++} g = g \text{ id } (\lambda x h \text{ ys} \rightarrow x : (h \text{ ys})) (\circ) []$

$vanish_{rev} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$

$vanish_{rev} g = \text{fst } (g (\lambda \text{ys} \rightarrow (\text{ys}, \text{ys}))$   
 $(\lambda x h \text{ ys} \rightarrow (x : (\text{fst } (h \text{ ys})), \text{snd } (h (x : \text{ys}))))$   
 $(\lambda h \text{ ys} \rightarrow \text{swap } (h \text{ ys})) [])$

$vanish_{++,rev,map} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta)$   
 $\rightarrow ((\alpha \rightarrow \alpha) \rightarrow \beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$

$vanish_{++,rev,map} g = \text{fst } (g (\lambda f \text{ ys} \rightarrow (\text{ys}, \text{ys}))$   
 $(\lambda x h f \text{ ys} \rightarrow ((f x) : (\text{fst } (h f \text{ ys})),$   
 $\text{snd } (h f ((f x) : \text{ys}))))$   
 $(\lambda h_1 h_2 f \text{ ys} \rightarrow (\text{fst } (h_1 f (\text{fst } (h_2 f \text{ ys}))),$   
 $\text{snd } (h_2 f (\text{snd } (h_1 f \text{ ys}))))))$   
 $(\lambda h f \text{ ys} \rightarrow \text{swap } (h f \text{ ys}))$   
 $(\lambda k h f \text{ ys} \rightarrow h (f \circ k) \text{ ys}) \text{ id } [])$

## User-Exposed Semantics of the *vanish*-Combinators:

$$\mathit{vanish}_{++} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$$

$$\mathit{vanish}_{++} g = g [] (:) (++)$$

$$\mathit{vanish}_{rev} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$$

$$\mathit{vanish}_{rev} g \sqsupseteq g [] (:) \mathit{reverse}$$

$$\mathit{vanish}_{++,rev,map} :: (\forall \beta . \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta) \rightarrow ((\alpha \rightarrow \alpha) \rightarrow \beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha]$$

$$\mathit{vanish}_{++,rev,map} g \sqsupseteq g [] (:) (++) \mathit{reverse} \mathit{map}$$

Proven using *free theorems* [Wadler, 1989], driven by the algebraic laws:

$$(xs ++ ys) ++ zs = xs ++ (ys ++ zs) \quad (1)$$

$$\mathit{reverse} (\mathit{reverse} xs) \sqsubseteq xs \quad (2)$$

$$\mathit{map} f (\mathit{map} k xs) = \mathit{map} (f \circ k) xs \quad (3)$$



**Proof:**  $vanish_{++} g = g \ [] \ (:) \ (++)$

Parametricity [Reynolds, 1983] gives for the type of

$$g :: \forall \beta . \beta \rightarrow (\mathbf{A} \rightarrow \beta \rightarrow \beta) \rightarrow (\beta \rightarrow \beta \rightarrow \beta) \rightarrow \beta$$

the following *free theorem* [Wadler, 1989]:

$$\begin{aligned} & (n, n') \in \mathcal{R} \wedge (\forall x :: \mathbf{A}, (l, l') \in \mathcal{R} . (c \ x \ l, c' \ x \ l') \in \mathcal{R}) \\ & \wedge (\forall (l_1, l'_1) \in \mathcal{R}, (l_2, l'_2) \in \mathcal{R} . (a \ l_1 \ l_2, a' \ l'_1 \ l'_2) \in \mathcal{R}) \\ & \Rightarrow (g \ n \ c \ a, g \ n' \ c' \ a') \in \mathcal{R}. \end{aligned}$$

Instantiate with  $n = []$ ,  $c = (:)$ ,  $a = (++)$ ,  $n' = id$ ,  $c' = (\lambda x \ h \ ys \rightarrow x : (h \ ys))$ ,  $a' = (o)$ , and  $\mathcal{R} = \{(l, l') \mid \forall ys :: [\mathbf{A}] . l ++ ys = l' \ ys\}$ :

$$\begin{aligned} & (\forall ys . [] ++ ys = ys) \\ & \wedge (\forall x, l, l' . (\forall ys . l ++ ys = l' \ ys) \Rightarrow (\forall ys . (x : l) ++ ys = x : (l' \ ys))) \\ & \wedge (\forall l_1, l'_1, l_2, l'_2 . (\forall ys . l_1 ++ ys = l'_1 \ ys) \wedge (\forall ys . l_2 ++ ys = l'_2 \ ys) \\ & \quad \Rightarrow (\forall ys . (l_1 ++ l_2) ++ ys = l'_1 (l'_2 \ ys))) \\ & \Rightarrow (\forall ys . (g \ [] \ (:) \ (++) ++ ys = g \ id \ (\lambda x \ h \ ys \rightarrow x : (h \ ys)) \ (o) \ ys). \end{aligned}$$

The preconditions of this implication are fulfilled by the definition of  $(++)$  and by law (1), hence:  $(g \ [] \ (:) \ (++) ++ [] = vanish_{++} g$ .

## A general Methodology (e.g.: the *filter* vanishes)

```
nub :: Eq α ⇒ [α] → [α]
nub [] = []
nub (x : xs) = x : (filter (x ≠) (nub xs))
```

### 1. Freezing and Efficient Conversion:

```
data List α = Nil | Cons α (List α) | Filter (α → Bool) (List α)
nub' :: Eq α ⇒ [α] → List α
nub' [] = Nil
nub' (x : xs) = Cons x (Filter (x ≠) (nub' xs))
```

```
convert* :: List α → [α]
convert* l = let h Nil p = []
                h (Cons x xs) p = if (p x) then (x : (h xs p)) else (h xs p)
                h (Filter q xs) p = h xs (λx → q x && p x)
            in h l (λx → True)
```

## 2. Preparing Shortcut Fusion [Gill *et al.*, 1993]:

$build_{List} g = g \text{ Nil Cons Filter}$

$nub' :: Eq \alpha \Rightarrow [\alpha] \rightarrow List \alpha$

$nub' l = build_{List} (\lambda n c f \rightarrow \text{let } h \quad [] = n$   
 $h (x : xs) = c x (f (x \neq) (h xs))$   
 $\text{in } h l)$

$fold_{List} \text{ Nil } n c f = n$

$fold_{List} (\text{Cons } x xs) n c f = c x (fold_{List} xs n c f)$

$fold_{List} (\text{Filter } q xs) n c f = f q (fold_{List} xs n c f)$

$convert^* :: List \alpha \rightarrow [\alpha]$

$convert^* l = fold_{List} l$

$(\lambda p \rightarrow [])$

$(\lambda x h p \rightarrow \text{if } (p x) \text{ then } (x : (h p)) \text{ else } (h p))$

$(\lambda q h p \rightarrow h (\lambda x \rightarrow q x \ \&\& \ p x))$

$(\lambda x \rightarrow \text{True})$

### 3. Calculate using Fusion Law: $\mathit{fold}_{\text{List}} (\mathit{build}_{\text{List}} g) = g$

$$\begin{aligned}
 & \mathit{convert}^* (\mathit{nub}' l) \\
 = & \mathit{fold}_{\text{List}} (\mathit{build}_{\text{List}} (\lambda n \ c \ f \rightarrow \text{let } h \quad [] \quad = n \\
 & \qquad \qquad \qquad h (x : xs) = c \ x \ (f (x \neq) (h \ xs)) \\
 & \qquad \qquad \qquad \text{in } h \ l)) \\
 & \quad (\lambda p \rightarrow []) \\
 & \quad (\lambda x \ h \ p \rightarrow \text{if } (p \ x) \text{ then } (x : (h \ p)) \text{ else } (h \ p)) \\
 & \quad (\lambda q \ h \ p \rightarrow h (\lambda x \rightarrow q \ x \ \&\& \ p \ x)) \\
 & \quad (\lambda x \rightarrow \text{True}) \\
 = & (\lambda n \ c \ f \rightarrow \text{let } h \quad [] \quad = n \\
 & \qquad \qquad \qquad h (x : xs) = c \ x \ (f (x \neq) (h \ xs)) \\
 & \qquad \qquad \qquad \text{in } h \ l) \\
 & \quad (\lambda p \rightarrow []) \\
 & \quad (\lambda x \ h \ p \rightarrow \text{if } (p \ x) \text{ then } (x : (h \ p)) \text{ else } (h \ p)) \\
 & \quad (\lambda q \ h \ p \rightarrow h (\lambda x \rightarrow q \ x \ \&\& \ p \ x)) \\
 & \quad (\lambda x \rightarrow \text{True})
 \end{aligned}$$

#### 4. Abstract into Combinator:

$$\mathit{vanish}_{\mathit{filter}}\ g = g\ (\lambda p \rightarrow [])\ (\lambda x\ h\ p \rightarrow \text{if } (p\ x) \text{ then } (x : (h\ p)) \text{ else } (h\ p)) \\ (\lambda q\ h\ p \rightarrow h\ (\lambda x \rightarrow q\ x \ \&\&\ p\ x))\ (\lambda x \rightarrow \mathbf{True})$$

$$\mathit{nub}^* :: \mathbf{Eq}\ \alpha \Rightarrow [\alpha] \rightarrow [\alpha]$$

$$\mathit{nub}^*\ l = \mathit{vanish}_{\mathit{filter}}\ (\lambda n\ c\ f \rightarrow \text{let } h\ [] = n \\ h\ (x : xs) = c\ x\ (f\ (x \neq)\ (h\ xs)) \\ \text{in } h\ l)$$

#### 5. Prove Correctness:

$$\mathit{vanish}_{\mathit{filter}} :: (\forall \beta. \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \beta) \rightarrow ((\alpha \rightarrow \mathbf{Bool}) \rightarrow \beta \rightarrow \beta) \rightarrow \beta) \rightarrow [\alpha] \\ \mathit{vanish}_{\mathit{filter}}\ g = g\ []\ (:) \ \mathit{filter}$$

Using a *free theorem* and the following law:

$$\mathit{filter}\ p\ (\mathit{filter}\ q\ xs) = \mathit{filter}\ (\lambda x \rightarrow q\ x \ \&\&\ p\ x)\ xs \quad (4)$$

Proof: *vanish<sub>filter</sub> g = g [] (:)* *filter*

From the type of  $g$  follows the *free theorem*:

$$\begin{aligned}
& (n, n') \in \mathcal{R} \\
& \wedge (\forall x :: \mathbf{A}, (l, l') \in \mathcal{R} . (c\ x\ l, c'\ x\ l') \in \mathcal{R}) \\
& \wedge (\forall q :: \mathbf{A} \rightarrow \mathbf{Bool}, (l, l') \in \mathcal{R} . (f\ q\ l, f'\ q\ l') \in \mathcal{R}) \\
& \Rightarrow (g\ n\ c\ f, g\ n'\ c'\ f') \in \mathcal{R}.
\end{aligned}$$

Instantiate with  $n = []$ ,  $c = (:)$ ,  $f = \mathit{filter}$ ,  $n' = (\lambda p \rightarrow [])$ ,  
 $c' = (\lambda x\ h\ p \rightarrow \text{if } (p\ x) \text{ then } (x : (h\ p)) \text{ else } (h\ p))$ ,  
 $f' = (\lambda q\ h\ p \rightarrow h\ (\lambda x \rightarrow q\ x \ \&\&\ p\ x))$ , and  
 $\mathcal{R} = \{(l, l') \mid \forall p :: \mathbf{A} \rightarrow \mathbf{Bool} . \mathit{filter}\ p\ l = l'\ p\}$ :

$$\begin{aligned}
& (\forall p . \mathit{filter}\ p\ [] = []) \\
& \wedge (\forall x, l, l' . (\forall p . \mathit{filter}\ p\ l = l'\ p) \\
& \quad \Rightarrow (\forall p . \mathit{filter}\ p\ (x : l) = \text{if } (p\ x) \text{ then } (x : (l'\ p)) \\
& \quad \quad \quad \text{else } (l'\ p))) \\
& \wedge (\forall q, l, l' . (\forall p . \mathit{filter}\ p\ l = l'\ p) \\
& \quad \Rightarrow (\forall p . \mathit{filter}\ p\ (\mathit{filter}\ q\ l) = l'\ (\lambda x \rightarrow q\ x \ \&\&\ p\ x))) \\
& \Rightarrow (\forall p . \mathit{filter}\ p\ (g\ []\ (:)\ \mathit{filter}) \\
& \quad = g\ (\lambda p \rightarrow []) \\
& \quad \quad (\lambda x\ h\ p \rightarrow \text{if } (p\ x) \text{ then } (x : (h\ p)) \text{ else } (h\ p)) \\
& \quad \quad (\lambda q\ h\ p \rightarrow h\ (\lambda x \rightarrow q\ x \ \&\&\ p\ x))\ p).
\end{aligned}$$

The preconditions of this implication are fulfilled by the definition of *filter* and by law (4), hence:

$$\mathit{filter}\ (\lambda x \rightarrow \mathbf{True})\ (g\ []\ (:)\ \mathit{filter}) = \mathit{vanish}_{\mathit{filter}}\ g.$$

## Summary:

- Variation of list abstraction: abstract not only over data constructors, but also over manipulating operations.
  - Methodology: “freezing” plus “efficient conversion as a *fold*” for synthesizing optimized list implementations.  
(also applicable to other algebraic data types)
  - Encapsulate essence of optimizations in reusable rank-2 polymorphic combinators.
- ⇒ Allows automation and proofs using free theorems.

# References

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