

Type-Based Reasoning and Imprecise Errors

Janis Voigtländer

Technische Universität Dresden

March 6th, 2009

Polymorphic Types: An Example in Haskell

A standard function:

```
map f []      = []  
map f (a : as) = (f a) : (map f as)
```

Polymorphic Types: An Example in Haskell

A standard function:

$$\begin{aligned}\text{map } f [] &= [] \\ \text{map } f (a : as) &= (f a) : (\text{map } f as)\end{aligned}$$

Some invocations:

$$\text{map succ } [1, 2, 3] = [2, 3, 4]$$

Polymorphic Types: An Example in Haskell

A standard function:

$$\begin{aligned}\text{map } f [] &= [] \\ \text{map } f (a : as) &= (f a) : (\text{map } f as)\end{aligned}$$

Some invocations:

$$\text{map succ } [1, 2, 3] = [2, 3, 4]$$
$$\text{map not } [\text{True}, \text{False}] = [\text{False}, \text{True}]$$

Polymorphic Types: An Example in Haskell

A standard function:

$$\begin{aligned}\text{map } f [] &= [] \\ \text{map } f (a : as) &= (f a) : (\text{map } f as)\end{aligned}$$

Some invocations:

$$\text{map succ } [1, 2, 3] = [2, 3, 4]$$
$$\text{map not } [\text{True}, \text{False}] = [\text{False}, \text{True}]$$
$$\text{map even } [1, 2, 3] = [\text{False}, \text{True}, \text{False}]$$

Polymorphic Types: An Example in Haskell

A standard function:

$$\begin{aligned}\text{map } f [] &= [] \\ \text{map } f (a : as) &= (f a) : (\text{map } f as)\end{aligned}$$

Some invocations:

```
map succ [1, 2, 3] = [2, 3, 4]
```

```
map not [True, False] = [False, True]
```

```
map even [1, 2, 3] = [False, True, False]
```

```
map not [1, 2, 3]
```

Polymorphic Types: An Example in Haskell

A standard function:

```
map :: ( $\alpha \rightarrow \beta$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\beta$ ]  
map f []           = []  
map f (a : as)    = (f a) : (map f as)
```

Some invocations:

```
map succ [1, 2, 3]    = [2, 3, 4]  
map not  [True, False] = [False, True]  
map even [1, 2, 3]    = [False, True, False]  
map not  [1, 2, 3]
```

Polymorphic Types: An Example in Haskell

A standard function:

```
map :: ( $\alpha \rightarrow \beta$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\beta$ ]  
map f []           = []  
map f (a : as)    = (f a) : (map f as)
```

Some invocations:

```
map succ [1, 2, 3]    = [2, 3, 4]            $\rightarrow \alpha, \beta \mapsto \text{Int}, \text{Int}$   
map not  [True, False] = [False, True]  
map even [1, 2, 3]    = [False, True, False]  
map not  [1, 2, 3]
```


Polymorphic Types: An Example in Haskell

A standard function:

```
map :: ( $\alpha \rightarrow \beta$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\beta$ ]  
map f []           = []  
map f (a : as)    = (f a) : (map f as)
```

Some invocations:

```
map succ [1, 2, 3]    = [2, 3, 4]           —  $\alpha, \beta \mapsto \text{Int}, \text{Int}$   
map not  [True, False] = [False, True]      —  $\alpha, \beta \mapsto \text{Bool}, \text{Bool}$   
map even [1, 2, 3]    = [False, True, False]  
map not  [1, 2, 3]
```

Polymorphic Types: An Example in Haskell

A standard function:

```
map :: ( $\alpha \rightarrow \beta$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\beta$ ]  
map f []           = []  
map f (a : as)    = (f a) : (map f as)
```

Some invocations:

```
map succ [1, 2, 3]    = [2, 3, 4]           —  $\alpha, \beta \mapsto \text{Int}, \text{Int}$   
map not  [True, False] = [False, True]      —  $\alpha, \beta \mapsto \text{Bool}, \text{Bool}$   
map even [1, 2, 3]    = [False, True, False] —  $\alpha, \beta \mapsto \text{Int}, \text{Bool}$   
map not  [1, 2, 3]
```

Polymorphic Types: An Example in Haskell

A standard function:

```
map :: ( $\alpha \rightarrow \beta$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\beta$ ]  
map f []           = []  
map f (a : as)    = (f a) : (map f as)
```

Some invocations:

```
map succ [1, 2, 3]    = [2, 3, 4]           —  $\alpha, \beta \mapsto \text{Int}, \text{Int}$   
map not  [True, False] = [False, True]       —  $\alpha, \beta \mapsto \text{Bool}, \text{Bool}$   
map even [1, 2, 3]    = [False, True, False] —  $\alpha, \beta \mapsto \text{Int}, \text{Bool}$   
map not  [1, 2, 3]    ↯ rejected at compile-time
```

Another Example

`takeWhile` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

`takeWhile` p [] = []

`takeWhile` p ($a : as$) | p a = $a : (\text{takeWhile } p \text{ } as)$
| otherwise = []

Another Example

```
takeWhile :: ( $\alpha \rightarrow \text{Bool}$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\alpha$ ]  
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

For every choice of p , f , and l :

```
takeWhile p (map f l) = map f (takeWhile (p  $\circ$  f) l)
```

Provable by induction.

Another Example

```
takeWhile :: ( $\alpha \rightarrow \text{Bool}$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\alpha$ ]  
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

For every choice of p , f , and l :

```
takeWhile p (map f l) = map f (takeWhile (p  $\circ$  f) l)
```

Provable by induction.

Or as a “free theorem” [Wadler, FPCA'89].

Another Example

`takeWhile` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

For every choice of p , f , and l :

`takeWhile` p (`map` f l) = `map` f (`takeWhile` ($p \circ f$) l)

Provable by induction.

Or as a “free theorem” [Wadler, FPCA’89].

Another Example

`takeWhile` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

`filter` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

For every choice of p , f , and l :

`takeWhile` p (`map` f l) = `map` f (`takeWhile` $(p \circ f)$ l)

`filter` p (`map` f l) = `map` f (`filter` $(p \circ f)$ l)

Another Example

`takeWhile` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

`filter` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

`g` :: $(\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$

For every choice of p , f , and l :

`takeWhile` p (`map` f l) = `map` f (`takeWhile` ($p \circ f$) l)

`filter` p (`map` f l) = `map` f (`filter` ($p \circ f$) l)

`g` p (`map` f l) = `map` f (`g` ($p \circ f$) l)

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work **uniformly** for every instantiation of α .

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain **elements from the input list** l .

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain **elements from the input list l** .
- ▶ Which, and in which order/multiplicity, can only be decided **based on l and the input predicate p** .

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the outcome of p on its elements.

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the **length of l** and to check the outcome of p on its elements.
- ▶ The lists $(\text{map } f \ l)$ and l always have **equal length**.

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the **outcome of p on its elements**.
- ▶ The lists $(\text{map } f \ l)$ and l always have equal length.
- ▶ Applying p to an element of $(\text{map } f \ l)$ always has the **same outcome** as applying $(p \circ f)$ to the corresponding element of l .

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the outcome of p on its elements.
- ▶ The lists $(\text{map } f \ l)$ and l always have equal length.
- ▶ Applying p to an element of $(\text{map } f \ l)$ always has the same outcome as applying $(p \circ f)$ to the corresponding element of l .
- ▶ g with p always chooses “the same” elements from $(\text{map } f \ l)$ for output as does g with $(p \circ f)$ from l ,

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the outcome of p on its elements.
- ▶ The lists $(\text{map } f \ l)$ and l always have equal length.
- ▶ Applying p to an element of $(\text{map } f \ l)$ always has the same outcome as applying $(p \circ f)$ to the corresponding element of l .
- ▶ g with p always chooses “the same” elements from $(\text{map } f \ l)$ for output as does g with $(p \circ f)$ from l , **except that it outputs their images under f .**

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the outcome of p on its elements.
- ▶ The lists $(\text{map } f \ l)$ and l always have equal length.
- ▶ Applying p to an element of $(\text{map } f \ l)$ always has the same outcome as applying $(p \circ f)$ to the corresponding element of l .
- ▶ g with p always chooses “the same” elements from $(\text{map } f \ l)$ for output as does g with $(p \circ f)$ from l , except that it outputs their **images under f** .
- ▶ $(g \ p \ (\text{map } f \ l))$ is equivalent to $(\text{map } f \ (g \ (p \circ f) \ l))$.

Why, Intuitively

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .
- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the outcome of p on its elements.
- ▶ The lists $(\text{map } f \ l)$ and l always have equal length.
- ▶ Applying p to an element of $(\text{map } f \ l)$ always has the same outcome as applying $(p \circ f)$ to the corresponding element of l .
- ▶ g with p always chooses “the same” elements from $(\text{map } f \ l)$ for output as does g with $(p \circ f)$ from l , except that it outputs their images under f .
- ▶ $(g \ p \ (\text{map } f \ l))$ is equivalent to $(\text{map } f \ (g \ (p \circ f) \ l))$.
- ▶ **That is what was claimed!**

Automatic Generation of Free Theorems

At <http://linux.tcs.inf.tu-dresden.de/~voigt/ft>:

This tool allows to generate free theorems for sublanguages of Haskell as described [here](#).

The source code of the underlying library and a shell-based application using it is available [here](#) and [here](#).

Please enter a (polymorphic) type, e.g. "(a -> Bool) -> [a] -> [a]" or simply "filter":

Please choose a sublanguage of Haskell:

- no bottoms (hence no general recursion and no selective strictness)
- general recursion but no selective strictness
- general recursion and selective strictness

Please choose a theorem style (without effect in the sublanguage with no bottoms):

- equational
- inequational

Automatic Generation of Free Theorems

The theorem generated for functions of the type

```
g :: forall a . (a -> Bool) -> [a] -> [a]
```

in the sublanguage of Haskell with no bottoms is:

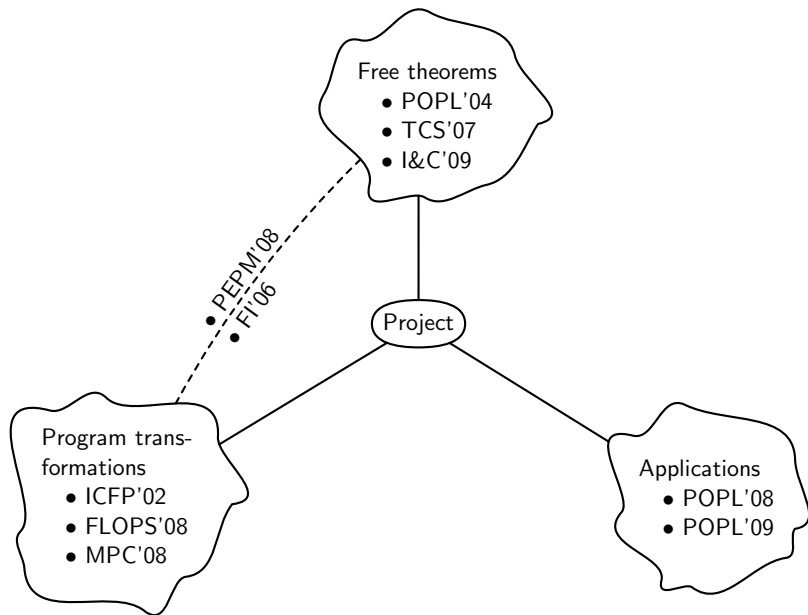
```
forall t1,t2 in TYPES, R in REL(t1,t2).
forall p :: t1 -> Bool.
forall q :: t2 -> Bool.
  (forall (x, y) in R. p x = q y)
==> (forall (z, v) in lift{[]}(R).
      (g p z, g q v) in lift{[]}(R))
```

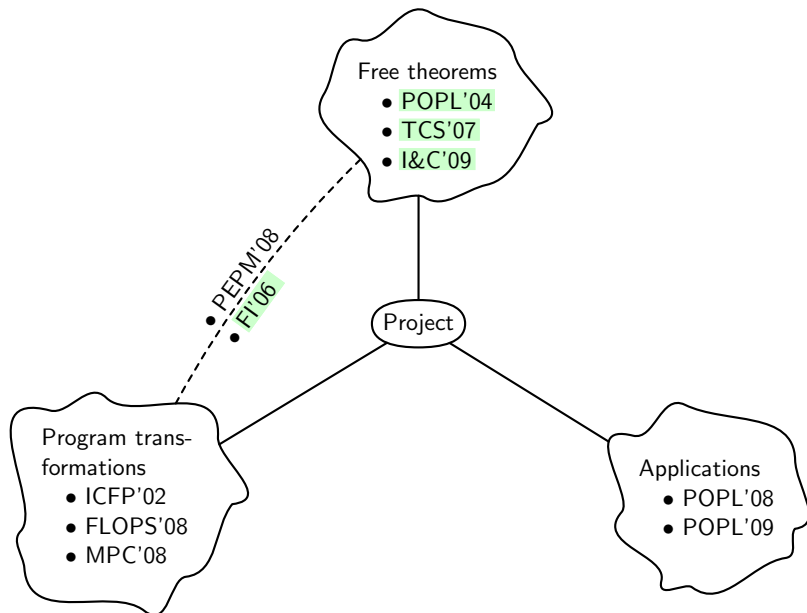
The structural lifting occurring therein is defined as follows:

```
lift{[]}(R)
= {[[], []]}
u {(x : xs, y : ys) |
   ((x, y) in R) && ((xs, ys) in lift{[]}(R))}
```

Reducing all permissible relation variables to functions yields:

```
forall t1,t2 in TYPES, f :: t1 -> t2.
forall p :: t1 -> Bool.
forall q :: t2 -> Bool.
  (forall x :: t1. p x = q (f x))
==> (forall y :: [t1]. map f (g p y) = g q (map f y))
```





Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work **uniformly** for every instantiation of α .

Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain **elements from the input list** l .

Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain **elements from the input list** l .

⚡ Not true! Also possible: \perp

Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain **elements from the input list l** .
- ⚡ Not true! **Also possible: \perp**
- ▶ Which, and in which order/multiplicity, can only be decided **based on l and the input predicate p** .

Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .

⚡ Not true! Also possible: \perp

- ▶ Which, and in which order/multiplicity, can only be decided **based on l and the input predicate p** .
- ▶ The only means for this decision are to inspect the **length of l** and to check the **outcome of p on its elements**.

Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .

⚡ Not true! Also possible: \perp

- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the **length of l** and to check the **outcome of p on its elements**.

⚡ Not true! Also possible:

- ▶ checking elements from l for being \perp
- ▶ checking p for being \perp
- ▶ checking outcome of p on \perp

Where is the Problem?

- ▶ $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ must work uniformly for every instantiation of α .
- ▶ The output list can only contain elements from the input list l .

⚡ Not true! Also possible: \perp

- ▶ Which, and in which order/multiplicity, can only be decided based on l and the input predicate p .
- ▶ The only means for this decision are to inspect the length of l and to check the outcome of p on its elements.

⚡ Not true! Also possible:

- ▶ checking elements from l for being \perp
- ▶ checking p for being \perp
- ▶ checking outcome of p on \perp

... ???

Revising Free Theorems

[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) \ = \ \text{map } f \ (g \ (p \circ f) \ l)$$

Revising Free Theorems

[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

[Johann & V., POPL'04] : in Haskell only provable if:

- ▶ $p \neq \perp$,
- ▶ f strict ($f \ \perp = \perp$), and
- ▶ f total ($\forall x \neq \perp. f \ x \neq \perp$).

Revising Free Theorems

[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g\ p (\text{map } f\ l) = \text{map } f\ (g\ (p \circ f)\ l)$$

[Johann & V., POPL'04] : in Haskell only provable if:

- ▶ $p \neq \perp$,
- ▶ f strict ($f\ \perp = \perp$), and
- ▶ f total ($\forall x \neq \perp. f\ x \neq \perp$).

[Johann & V., I&C'09] : taking finite failures into account

Revising Free Theorems

[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

[Johann & V., POPL'04] : in Haskell only provable if:

- ▶ $p \neq \perp$,
- ▶ f strict ($f \ \perp = \perp$), and
- ▶ f total ($\forall x \neq \perp. f \ x \neq \perp$).

[Johann & V., I&C'09] : taking finite failures into account

[Stenger & V., TR] : taking imprecise error semantics into account

Errors in Haskell

- ▶ **let** `average l = div (sum l) (length l)`
in `average []`

Errors in Haskell

- ▶ **let** `average l = div (sum l) (length l)`
in `average []`
- ▶ **let** `tail (a : as) = as`
in `tail []`

Errors in Haskell

- ▶ **let** `average l = div (sum l) (length l)`
in `average []`
- ▶ **let** `tail (a : as) = as`
in `tail []`
- ▶ **if** `...` **then** `error "some string"` **else** `...`

Errors in Haskell

- ▶ **let** `average l = div (sum l) (length l)`
in `average []`
- ▶ **let** `tail (a : as) = as`
in `tail []`
- ▶ **if** `...` **then** `error "some string"` **else** `...`
- ▶ **let** `loop = loop`
in `loop`

Errors in Haskell

- ▶ **let** `average` $l = \text{div } (\text{sum } l) (\text{length } l)$
in `average []`
- ▶ **let** `tail` $(a : as) = as$
in `tail []`
- ▶ **if** \dots **then** `error "some string"` **else** \dots
- ▶ **let** `loop` $= \text{loop}$
in `loop`

Traditionally, all error causes subsumed under \perp .

Errors in Haskell

- ▶ **let** `average l = div (sum l) (length l)`
in `average []`
- ▶ **let** `tail (a : as) = as`
in `tail []`
- ▶ **if** `... then error "some string" else ...`
- ▶ **let** `loop = loop`
in `loop`

Traditionally, all error causes subsumed under \perp .

Better, explicit distinction. Like:

Ok v : nonerroneous

Bad "...": finitely failing

\perp : nonterminating

Propagation of Errors

- ▶ `tail [1/0, 2.5]` \rightsquigarrow `Ok [Ok 2.5]`

Propagation of Errors

- ▶ `tail [1/0, 2.5] ~\rightsquigarrow Ok [Ok 2.5]`
- ▶ `(λx → 3) (error "...") ~\rightsquigarrow Ok 3`

Propagation of Errors

- ▶ `tail [1/0, 2.5]` \rightsquigarrow `Ok [Ok 2.5]`
- ▶ `($\lambda x \rightarrow 3$) (error "...")` \rightsquigarrow `Ok 3`
- ▶ `(error s) (...)` \rightsquigarrow `Bad s`

Propagation of Errors

- ▶ `tail [1/0, 2.5]` \rightsquigarrow `Ok [Ok 2.5]`
- ▶ `($\lambda x \rightarrow 3$) (error "...")` \rightsquigarrow `Ok 3`
- ▶ `(error s) (...)` \rightsquigarrow `Bad s`
- ▶ `case (error s) of {...}` \rightsquigarrow `Bad s`

Propagation of Errors

- ▶ `tail [1/0, 2.5]` \rightsquigarrow `Ok [Ok 2.5]`
- ▶ `($\lambda x \rightarrow 3$) (error "...")` \rightsquigarrow `Ok 3`
- ▶ `(error s) (...)` \rightsquigarrow `Bad s`
- ▶ `case (error s) of {...}` \rightsquigarrow `Bad s`
- ▶ `(error s1) + (error s2)` \rightsquigarrow `???`

Propagation of Errors

- ▶ `tail [1/0, 2.5]` \rightsquigarrow `Ok [Ok 2.5]`
- ▶ `($\lambda x \rightarrow 3$) (error "...")` \rightsquigarrow `Ok 3`
- ▶ `(error s) (...)` \rightsquigarrow `Bad s`
- ▶ `case (error s) of {...}` \rightsquigarrow `Bad s`
- ▶ `(error s1) + (error s2)` \rightsquigarrow ???

Dependence on evaluation order leads to considerably less freedom for implementors to rearrange computations, to optimise!

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Basic idea:

Ok v : nonerroneous

Bad $\{\dots\}$: finitely failing, nondeterministic

\perp : nonterminating

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

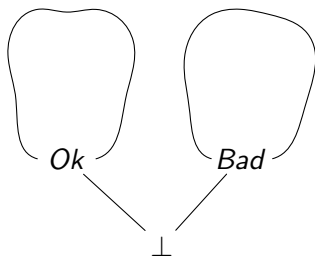
Basic idea:

Ok v : nonerroneous

Bad $\{\dots\}$: finitely failing, nondeterministic

\perp : nonterminating

Definedness order:



Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

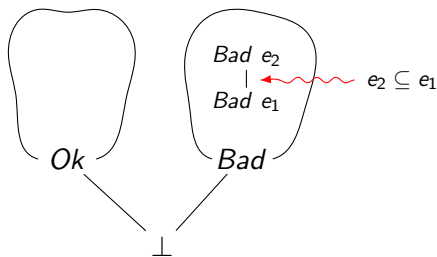
Basic idea:

Ok v : nonerroneous

Bad $\{\dots\}$: finitely failing, nondeterministic

\perp : nonterminating

Definedness order:



Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Propagation of Errors:

$$\blacktriangleright (\text{error } s_1) + (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$$

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Propagation of Errors:

▶ $(\text{error } s_1) + (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$

▶ $3 + (\text{error } s) \rightsquigarrow \text{Bad } \{s\}$

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Propagation of Errors:

▶ $(\text{error } s_1) + (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$

▶ $3 + (\text{error } s) \rightsquigarrow \text{Bad } \{s\}$

▶ $\text{loop} + (\text{error } s) \rightsquigarrow \perp$

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Propagation of Errors:

▶ $(\text{error } s_1) + (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$

▶ $3 + (\text{error } s) \rightsquigarrow \text{Bad } \{s\}$

▶ $\text{loop} + (\text{error } s) \rightsquigarrow \perp$

▶ $(\text{error } s_1) (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Propagation of Errors:

- ▶ $(\text{error } s_1) + (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$
- ▶ $3 + (\text{error } s) \rightsquigarrow \text{Bad } \{s\}$
- ▶ $\text{loop} + (\text{error } s) \rightsquigarrow \perp$
- ▶ $(\text{error } s_1) (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$
- ▶ $(\lambda x \rightarrow 3) (\text{error } s) \rightsquigarrow \text{Ok } 3$

Imprecise Error Semantics [Peyton Jones et al., PLDI'99]

Propagation of Errors:

- ▶ $(\text{error } s_1) + (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$
- ▶ $3 + (\text{error } s) \rightsquigarrow \text{Bad } \{s\}$
- ▶ $\text{loop} + (\text{error } s) \rightsquigarrow \perp$
- ▶ $(\text{error } s_1) (\text{error } s_2) \rightsquigarrow \text{Bad } \{s_1, s_2\}$
- ▶ $(\lambda x \rightarrow 3) (\text{error } s) \rightsquigarrow \text{Ok } 3$
- ▶ $\text{case } (\text{error } s_1) \text{ of } \{(x, y) \rightarrow \text{error } s_2\} \rightsquigarrow \text{Bad } \{s_1, s_2\}$

Impact on Program Equivalence

“Normally”:

```
takeWhile p (map f l) = map f (takeWhile (p ∘ f) l)
```

where:

```
takeWhile :: (α → Bool) → [α] → [α]
```

```
takeWhile p [] = []
```

```
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map :: (α → β) → [α] → [β]
```

```
map f [] = []
```

```
map f (a : as) = (f a) : (map f as)
```


Impact on Program Equivalence

“Normally”:

$$\text{takeWhile } p (\text{map } f \ l) = \text{map } f (\text{takeWhile } (p \circ f) \ l)$$

where:

$$\text{takeWhile} :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$$
$$\text{takeWhile } p \ [] = []$$
$$\text{takeWhile } p (a : as) \mid p \ a = a : (\text{takeWhile } p \ as)$$
$$\mid \text{otherwise} = []$$
$$\text{map} :: (\alpha \rightarrow \beta) \rightarrow [\alpha] \rightarrow [\beta]$$
$$\text{map } f \ [] = []$$
$$\text{map } f (a : as) = (f \ a) : (\text{map } f \ as)$$

But now:

$$\text{takeWhile } \text{null} (\text{map } \text{tail} (\text{error } s))$$
$$\neq$$
$$\text{map } \text{tail} (\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s))$$

Impact on Program Equivalence

“Normally”:

$$\text{takeWhile } p (\text{map } f \ l) = \text{map } f (\text{takeWhile } (p \circ f) \ l)$$

where:

$$\text{takeWhile} :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$$

$$\text{takeWhile } p \ [] = []$$

$$\text{takeWhile } p \ (a : as) \mid \begin{array}{l} p \ a \\ \text{otherwise} \end{array} = \begin{array}{l} a : (\text{takeWhile } p \ as) \\ [] \end{array}$$

$$\text{map} :: (\alpha \rightarrow \beta) \rightarrow [\alpha] \rightarrow [\beta]$$

$$\text{map } f \ [] = []$$

$$\text{map } f \ (a : as) = (f \ a) : (\text{map } f \ as)$$

But now:

$$\text{takeWhile } \text{null} (\text{map } \text{tail} (\text{error } s)) \quad \not\Leftarrow s$$
$$\neq$$

$$\text{map } \text{tail} (\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s)) \quad \not\Leftarrow s \text{ or } \not\Leftarrow \text{“empty list”}$$

Impact on Program Equivalence

Because:

$$\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s) \rightsquigarrow \text{Bad } \{s, \text{"empty list"}\}$$

where:

$$\begin{aligned} \text{takeWhile } p [] &= [] \\ \text{takeWhile } p (a : as) & \begin{cases} p a & = a : (\text{takeWhile } p as) \\ \text{otherwise} & = [] \end{cases} \end{aligned}$$
$$\begin{aligned} \text{tail } [] &= \text{error "empty list"} \\ \text{tail } (a : as) &= as \end{aligned}$$
$$\begin{aligned} \text{null } [] &= \text{True} \\ \text{null } (a : as) &= \text{False} \end{aligned}$$

Impact on Program Equivalence

Because:

$$\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s) \rightsquigarrow \text{Bad } \{s, \text{"empty list"}\}$$

where:

$$\begin{aligned} \text{takeWhile } p [] &= [] \\ \text{takeWhile } p (a : as) & \begin{cases} p a & = a : (\text{takeWhile } p as) \\ \text{otherwise} & = [] \end{cases} \end{aligned}$$
$$\begin{aligned} \text{tail } [] &= \text{error "empty list"} \\ \text{tail } (a : as) &= as \end{aligned}$$
$$\begin{aligned} \text{null } [] &= \text{True} \\ \text{null } (a : as) &= \text{False} \end{aligned}$$

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
tail [] = error "empty list"  
tail (a : as) = as
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

$$\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s) \rightsquigarrow \text{Bad } \{s, \text{"empty list"}\}$$

where:

$$\begin{aligned} \text{takeWhile } p [] &= [] \\ \text{takeWhile } p (a : as) & \begin{cases} p \ a & = a : (\text{takeWhile } p \ as) \\ \text{otherwise} & = [] \end{cases} \end{aligned}$$
$$\begin{aligned} \text{tail } [] &= \text{error } \text{"empty list"} \\ \text{tail } (a : as) &= as \end{aligned}$$
$$\begin{aligned} \text{null } [] &= \text{True} \\ \text{null } (a : as) &= \text{False} \end{aligned}$$

Impact on Program Equivalence

Because:

$$\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s) \rightsquigarrow \text{Bad } \{s, \text{"empty list"}\}$$

where:

$$\begin{aligned} \text{takeWhile } p \ [] &= [] \\ \text{takeWhile } p \ (a : as) & \begin{cases} p \ a & = a : (\text{takeWhile } p \ as) \\ \text{otherwise} & = [] \end{cases} \end{aligned}$$
$$\begin{aligned} \text{tail } [] &= \text{error } \text{"empty list"} \\ \text{tail } (a : as) &= as \end{aligned}$$
$$\begin{aligned} \text{null } [] &= \text{True} \\ \text{null } (a : as) &= \text{False} \end{aligned}$$

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
tail [] = error "empty list"  
tail (a : as) = as
```

```
null [] = True  
null (a : as) = False
```


Impact on Program Equivalence

Because:

$$\text{takeWhile } (\text{null} \circ \text{tail}) (\text{error } s) \rightsquigarrow \text{Bad } \{s, \text{"empty list"}\}$$

where:

$$\begin{aligned} \text{takeWhile } p \ [] &= [] \\ \text{takeWhile } p \ (a : as) & \begin{cases} p \ a & = a : (\text{takeWhile } p \ as) \\ \text{otherwise} & = [] \end{cases} \end{aligned}$$
$$\begin{aligned} \text{tail } [] &= \text{error "empty list"} \\ \text{tail } (a : as) &= as \end{aligned}$$
$$\begin{aligned} \text{null } [] &= \text{True} \\ \text{null } (a : as) &= \text{False} \end{aligned}$$

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

where:

```
takeWhile p [] = []  
takeWhile p (a : as) | p a = a : (takeWhile p as)  
                    | otherwise = []
```

```
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

```
null [] = True  
null (a : as) = False
```

Impact on Program Equivalence

Because:

`takeWhile (null ◦ tail) (error s) ~> Bad {s, "empty list"}`

while:

`takeWhile null (map tail (error s)) ~> Bad {s}`

Thus:

`takeWhile null (map tail (error s))`
 \neq
`map tail (takeWhile (null ◦ tail) (error s))`

Impact on Program Equivalence

Because:

```
takeWhile (null ◦ tail) (error s)  $\rightsquigarrow$  Bad {s, "empty list"}
```

while:

```
takeWhile null (map tail (error s))  $\rightsquigarrow$  Bad {s}
```

Thus:

```
takeWhile null (map tail (error s))  
     $\neq$   
map tail (takeWhile (null ◦ tail) (error s))
```

Now, imagine this in the following program context:

```
catchJust errorCalls (evaluate ...)
    ( $\lambda s \rightarrow$  if s == "empty list"
        then return [[42]]
        else return [])
```

How to Revise Free Theorems?

[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

How to Revise Free Theorems?

[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

[Johann & V., POPL'04] : in Haskell only provable if:

- ▶ $p \neq \perp$,
- ▶ f strict ($f \ \perp = \perp$), and
- ▶ f total ($\forall x \neq \perp. f \ x \neq \perp$).

How to Revise Free Theorems?

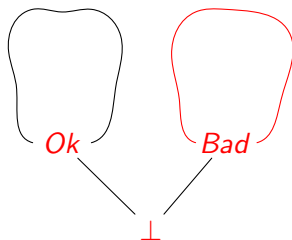
[Wadler, FPCA'89] : for every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g\ p (\text{map } f\ l) = \text{map } f\ (g\ (p \circ f)\ l)$$

[Johann & V., POPL'04] : in Haskell only provable if:

- ▶ $p \neq \perp$,
- ▶ f strict ($f\ \perp = \perp$), and
- ▶ f total ($\forall x \neq \perp. f\ x \neq \perp$).

What are corresponding conditions “in real”?



Sweat and Tears . . .

. . . provide full formalisation

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem
- . . . identify appropriate restrictions on the level of relations

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem
- . . . identify appropriate restrictions on the level of relations
- . . . adapt relational actions

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem
- . . . identify appropriate restrictions on the level of relations
- . . . adapt relational actions
- . . . complete general proof

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem
- . . . identify appropriate restrictions on the level of relations
- . . . adapt relational actions
- . . . complete general proof
- . . . transfer restrictions to the level of functions

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem
- . . . identify appropriate restrictions on the level of relations
- . . . adapt relational actions
- . . . complete general proof
- . . . transfer restrictions to the level of functions
- . . . apply to concrete functions

Sweat and Tears . . .

- . . . provide full formalisation
- . . . enter general proof of parametricity theorem
- . . . identify appropriate restrictions on the level of relations
- . . . adapt relational actions
- . . . complete general proof
- . . . transfer restrictions to the level of functions
- . . . apply to concrete functions
- (. . . similarly for “asymmetric” scenarios as well)

... Application to `takeWhile`

For every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) \ = \ \text{map } f \ (g \ (p \circ f) \ l)$$

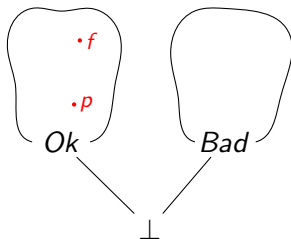
... Application to takeWhile

For every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

provided

- ▶ p and f are nonerroneous,



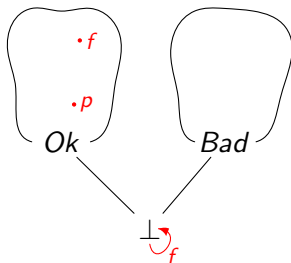
... Application to takeWhile

For every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

provided

- ▶ p and f are nonerroneous,
- ▶ $f \ \perp = \perp$,



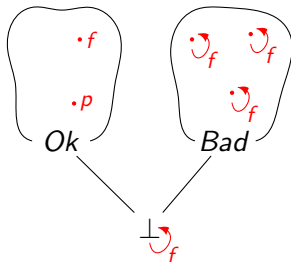
... Application to takeWhile

For every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

provided

- ▶ p and f are nonerroneous,
- ▶ $f \ \perp = \perp$,
- ▶ f acts as identity on erroneous values, and



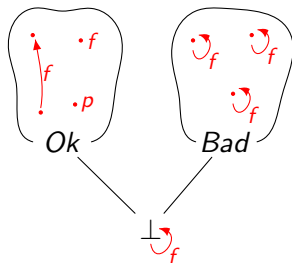
... Application to `takeWhile`

For every $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$,

$$g \ p \ (\text{map } f \ l) = \text{map } f \ (g \ (p \circ f) \ l)$$

provided

- ▶ p and f are nonerroneous,
- ▶ $f \ \perp = \perp$,
- ▶ f acts as identity on erroneous values, and
- ▶ f maps nonerroneous values to nonerroneous values.



Summary and Outlook

Types:

- ▶ constrain the behaviour of programs

Summary and Outlook

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs

Summary and Outlook

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs
- ▶ combine well with algebraic techniques, equational reasoning

Summary and Outlook

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs
- ▶ combine well with algebraic techniques, equational reasoning

On the programming language side:

- ▶ push towards full programming languages

Summary and Outlook

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs
- ▶ combine well with algebraic techniques, equational reasoning

On the programming language side:

- ▶ push towards full programming languages
- ▶ strive for more expressive type systems

Summary and Outlook

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs
- ▶ combine well with algebraic techniques, equational reasoning

On the programming language side:

- ▶ push towards full programming languages
- ▶ strive for more expressive type systems

On the practical side:

- ▶ efficiency-improving program transformations

Summary and Outlook

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs
- ▶ combine well with algebraic techniques, equational reasoning




On the programming language side:

- ▶ push towards full programming languages
- ▶ strive for more expressive type systems




On the practical side:

- ▶ efficiency-improving program transformations
- ▶ applications in specific domains

References I

-  P. Hudak, R.J.M. Hughes, S.L. Peyton Jones, and P. Wadler.
A history of Haskell: Being lazy with class.
In History of Programming Languages, Proceedings, pages 12-1–12-55. ACM Press, 2007.
-  P. Johann and J. Voigtländer.
Free theorems in the presence of seq.
In Principles of Programming Languages, Proceedings, pages 99–110. ACM Press, 2004.
-  P. Johann and J. Voigtländer.
A family of syntactic logical relations for the semantics of Haskell-like languages.
Information and Computation, 2009.

References II

-  S.L. Peyton Jones, A. Reid, C.A.R. Hoare, S. Marlow, and F. Henderson.
A semantics for imprecise exceptions.
In Programming Language Design and Implementation, Proceedings, pages 25–36. ACM Press, 1999.
-  F. Stenger and J. Voigtländer.
Parametricity for Haskell with imprecise error semantics.
Technical Report TUD-FI08-08, Technische Universität Dresden, 2008.
-  P. Wadler.
Theorems for free!
In Functional Programming Languages and Computer Architecture, Proceedings, pages 347–359. ACM Press, 1989.